# **CHAPTER 8** RELATIONS

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#### **SECTION 8.2**

# Reflexivity, Symmetry, and Transitivity

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## **\**

#### Reflexivity, Symmetry, and Transitivity

Let  $A = \{2, 3, 4, 6, 7, 9\}$  and define a relation R on A as follows: For all  $x, y \in A$ ,

$$x R y \Leftrightarrow 3 | (x - y).$$

Then 2 R 2 because 2 - 2 = 0, and  $3 \mid 0$ .

Similarly, 3 R 3, 4 R 4, 6 R 6, 7 R 7, and 9 R 9.

Also 6 R 3 because 6 – 3 = 3, and 3 | 3.

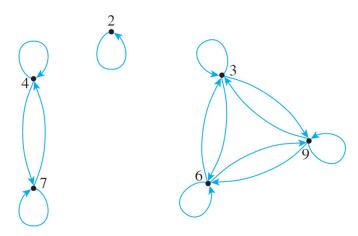
And 3 R 6 because 3 - 6 = -(6 - 3) = -3, and  $3 \mid (-3)$ .

Similarly, 3 R 9, 9 R 3, 6 R 9, 9 R 6, 4 R 7, and 7 R 4.



Thus the directed graph for *R* has the appearance shown at the right.

This graph has three important properties:



- 1. Each point of the graph has an arrow looping around from it back to itself.
- 2. In each case where there is an arrow going from one point to a second, there is an arrow going from the second point back to the first.

3. In each case where there is an arrow going from one point to a second and from the second point to a third, there is an arrow going from the first point to the third. That is, there are no "incomplete directed triangles" in the graph.

Properties (1), (2), and (3) correspond to properties of general relations called *reflexivity*, *symmetry*, and *transitivity*.

#### Definition

Let R be a relation on a set A.

- 1. *R* is **reflexive** if, and only if, for all  $x \in A$ ,  $x \in A$ .
- 2. R is symmetric if, and only if, for all  $x, y \in A$ , if  $x \in R$  y then  $y \in R$  x.
- 3. R is **transitive** if, and only if, for all  $x, y, z \in A$ , if x R y and y R z then x R z.

Because of the equivalence of the expressions x R y and  $(x, y) \in R$  for all x and y in A, the reflexive, symmetric, and transitive properties can also be written as follows:

- 1. R is reflexive  $\Leftrightarrow$  for all x in A,  $(x, x) \in R$ .
- 2. R is symmetric  $\Leftrightarrow$  for all x and y in A, if  $(x, y) \in R$  then  $(y, x) \in R$ .
- 3. R is transitive  $\Leftrightarrow$  for all x, y and z in A, if  $(x, y) \in R$  and  $(y, z) \in R$  then  $(x, z) \in R$ .

In informal terms, properties (1)–(3) say the following:

- 1. **Reflexive:** Each element is related to itself.
- 2. **Symmetric:** If any one element is related to any other element, then the second element is related to the first.
- 3. **Transitive:** If any one element is related to a second and that second element is related to a third, then the first element is related to the third.

Note that the definitions of reflexivity, symmetry, and transitivity are universal statements.

This means that to prove a relation has one of the properties, you use either the method of exhaustion or the method of generalizing from the generic particular.

Now consider what it means for a relation *not* to have one of the properties defined previously. We have known that the negation of a universal statement is existential.

Hence if *R* is a relation on a set *A*, then

1. R is **not reflexive**  $\Leftrightarrow$  there is an element x in A such that x R x [that is, such that  $(x, x) \notin R$ ].



- 2. R is **not symmetric**
- there are elements x and y in A such that x R y but y R x [that is, such that  $(x, y) \in R$  but  $(y, x) \notin R$ ].
- 3. R is **not transitive**
- there are elements x, y and z in A such that x R y and y R z but x R z [that is, such that  $(x, y) \in R$  and  $(y, z) \in R$  but  $(x, z) \notin R$ ].

It follows that you can show that a relation does *not* have one of the properties by finding a counterexample.

#### Example 1 – Properties of Relations on Finite Sets

Let  $A = \{0, 1, 2, 3\}$  and define relations R, S, and T on A as follows:

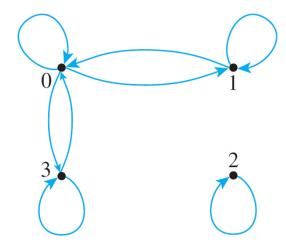
$$R = \{(0, 0), (0, 1), (0, 3), (1, 0), (1, 1), (2, 2), (3, 0), (3, 3)\},\$$
 $S = \{(0, 0), (0, 2), (0, 3), (2, 3)\},\$ 
 $T = \{(0, 1), (2, 3)\}.$ 

- **a.** Is *R* reflexive? symmetric? transitive?
- **b.** Is *S* reflexive? symmetric? transitive?
- **c.** Is *T* reflexive? symmetric? transitive?



### Example 1(a) - Solution

The directed graph of *R* has the appearance shown below.



**R** is reflexive: There is a loop at each point of the directed graph. This means that each element of A is related to itself, so R is reflexive.



## Example 1(a) – Solution

*R* is symmetric: In each case where there is an arrow going from one point of the graph to a second, there is an arrow going from the second point back to the first.

This means that whenever one element of A is related by R to a second, then the second is related to the first. Hence R is symmetric.

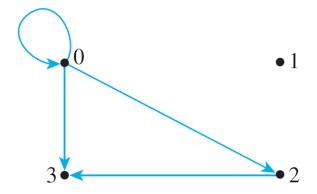
**R** is not transitive: There is an arrow going from 1 to 0 and an arrow going from 0 to 3, but there is no arrow going from 1 to 3.

This means that there are elements of A—0, 1, and 3—such that 1 R 0 and 0 R 3 but 1 R 3. Hence R is not transitive.



## Example 1(b) – Solution

The directed graph of *S* has the appearance shown below.



**S** is not reflexive: There is no loop at 1, for example. Thus  $(1, 1) \notin S$ , and so S is not reflexive.

**S is not symmetric:** There is an arrow from 0 to 2 but not from 2 to 0. Hence  $(0, 2) \in S$  but  $(2, 0) \notin S$ , and so S is not symmetric.



### Example 1(b) – Solution

S is transitive: There are three cases for which there is an arrow going from one point of the graph to a second and from the second point to a third:

Namely, there are arrows going from 0 to 2 and from 2 to 3; there are arrows going from 0 to 0 and from 0 to 2; and there are arrows going from 0 to 0 and from 0 to 3.

In each case there is an arrow going from the first point to the third. (Note again that the "first," "second," and "third" points need not be distinct.)

This means that whenever  $(x, y) \in S$  and  $(y, z) \in S$ , then  $(x, z) \in S$ , for all  $x, y, z \in \{0, 1, 2, 3\}$ , and so S is transitive.



#### Example 1(c) – Solution

The directed graph of *T* has the appearance shown at right.

*T* is not reflexive: There is no loop at 0, for example. Thus  $(0, 0) \notin T$ , so *T* is not reflexive.

*T* is not symmetric: There is an arrow from 0 to 1 but not from 1 to 0. Thus  $(0, 1) \in T$  but  $(1, 0) \notin T$ , and so *T* is not symmetric.

*T* is transitive: The transitivity condition is vacuously true for *T*. To see this, observe that the transitivity condition says that

For all  $x, y, z \in A$ , if  $(x, y) \in T$  and  $(y, z) \in T$  then  $(x, z) \in T$ .



#### Example 1(c) – Solution

The only way for this to be false would be for there to exist elements of *A* that make the hypothesis true and the conclusion false.

That is, there would have to be elements x, y, and z in A such that  $(x, y) \in T$  and  $(y, z) \in T$  and  $(x, z) \notin T$ .

In other words, there would have to be two ordered pairs in *T* that have the potential to "link up" by having the *second* element of one pair be the *first* element of the other pair.

But the only elements in T are (0, 1) and (2, 3), and these do not have the potential to link up. Hence the hypothesis is never true. It follows that it is impossible for T not to be transitive, and thus T is transitive.



# Properties of Relations on Infinite Sets



#### Properties of Relations on Infinite Sets

Suppose a relation *R* is defined on an infinite set *A*. To prove the relation is reflexive, symmetric, or transitive, first write down what is to be proved. For instance, for symmetry you need to prove that

$$\forall x, y \in A$$
, if  $x R y$  then  $y R x$ .

Then use the definitions of *A* and *R* to rewrite the statement for the particular case in question. For instance, for the "equality" relation on the set of real numbers, the rewritten statement is

$$\forall x, y \in R$$
, if  $x = y$  then  $y = x$ .



#### Properties of Relations on Infinite Sets

Sometimes the truth of the rewritten statement will be immediately obvious (as it is here).

At other times you will need to prove it using the method of generalizing from the generic particular.

We begin with the relation of equality, one of the simplest and yet most important relations.



## Example 2 – Properties of Equality

Define a relation R on  $\mathbf{R}$  (the set of all real numbers) as follows: For all real numbers x and y.

$$x R y \Leftrightarrow x = y.$$

- **a.** Is R reflexive?
- **b.** Is *R* symmetric?
- **c.** Is R transitive?



#### Example 2(a) – Solution

**R** is reflexive: R is reflexive if, and only if, the following statement is true:

For all 
$$x \in \mathbb{R}$$
,  $x R x$ .

Since x R x just means that x = x, this is the same as saying

For all 
$$x \in \mathbf{R}$$
,  $x = x$ .

But this statement is certainly true; every real number is equal to itself.



#### Example 2(b) – Solution

**R** is symmetric: R is symmetric if, and only if, the following statement is true:

For all  $x, y \in \mathbf{R}$ , if x R y then y R x.

By definition of R, x R y means that x = y and y R x means that y = x. Hence R is symmetric if, and only if,

For all 
$$x, y \in \mathbf{R}$$
, if  $x = y$  then  $y = x$ .

But this statement is certainly true; if one number is equal to a second, then the second is equal to the first.



#### Example 2(c) – Solution

**R** is transitive: R is transitive if, and only if, the following statement is true:

For all  $x, y, z \in \mathbb{R}$ , if x R y and y R z then x R z.

By definition of R, x R y means that x = y, y R z means that y = z, and x R z means that x = z. Hence R is transitive if, and only if, the following statement is true:

For all  $x, y, z \in \mathbf{R}$ , if x = y and y = z then x = z.

But this statement is certainly true: If one real number equals a second and the second equals a third, then the first equals the third.



#### Example 4 – Properties of Congruence Modulo 3

Define a relation T on  $\mathbf{Z}$  (the set of all integers) as follows: For all integers m and n,

$$m T n \Leftrightarrow 3 \mid (m-n).$$

This relation is called **congruence modulo 3.** 

- **a.** Is *T* reflexive?
- **b.** Is *T* symmetric?
- **c.** Is T transitive?

## **\**

#### Example 4(a) – Solution

*T* is reflexive: To show that *T* is reflexive, it is necessary to show that

For all 
$$m \in \mathbb{Z}$$
,  $m T m$ .

By definition of *T*, this means that

For all 
$$m \in \mathbb{Z}$$
,  $3 | (m - m)$ .

Or, since 
$$m - m = 0$$
, For all  $m \in \mathbb{Z}$ ,  $3 \mid 0$ .

But this is true:  $3 \mid 0$  since  $0 = 3 \cdot 0$ . Hence T is reflexive. This reasoning is formalized in the following proof.

**Proof of Reflexivity:** Suppose m is a particular but arbitrarily chosen integer. [We must show that  $m \ T \ m$ .] Now m - m = 0. But  $3 \mid 0$  since  $0 = 3 \cdot 0$ . Hence  $3 \mid (m - m)$ . Thus, by definition of T,  $m \ T \ m$  [as was to be shown].



#### Example 4(b) – Solution

*T* is symmetric: To show that *T* is symmetric, it is necessary to show that

For all  $m, n \in \mathbb{Z}$ , if m T n then n T m.

By definition of *T* this means that

For all  $m, n \in \mathbb{Z}$ , if  $3 \mid (m-n)$  then  $3 \mid (n-m)$ .

Is this true? Suppose m and n are particular but arbitrarily chosen integers such that  $3 \mid (m - n)$ .

Must it follow that  $3 \mid (n - m)$ ? [In other words, can we find an integer so that  $n - m = 3 \cdot (that integer)$ ?]



#### Example 4(b) – Solution

By definition of "divides," since

$$3 \mid (m-n),$$

then

$$m-n=3k$$

for some integer k.

The crucial observation is that n - m = -(m - n). Hence, you can multiply both sides of this equation by -1 to obtain

$$-(m-n)=-3k,$$

which is equivalent to n - m = 3(-k).

[Thus we have found an integer, namely -k, so that  $n - m = 3 \cdot (that integer)$ .]



#### Example 4(b) – Solution

Since -k is an integer, this equation shows that

$$3 \mid (n - m)$$
.

It follows that T is symmetric.

The reasoning is formalized in the following proof.

**Proof of Symmetry:** Suppose m and n are particular but arbitrarily chosen integers that satisfy the condition m T n. [We must show that n T m.] By definition of T, since m T n then  $3 \mid (m-n)$ . By definition of "divides," this means that m-n=3k, for some integer k. Multiplying both sides by -1 gives n-m=3(-k). Since -k is an integer, this equation shows that  $3 \mid (n-m)$ . Hence, by definition of T, n T m [as was to be shown].



#### Example 4(c) – Solution

*T* is transitive: To show that *T* is transitive, it is necessary to show that

For all  $m, n, p \in \mathbb{Z}$ , if m T n and n T p then m T p.

By definition of *T* this means that

For all  $m, n \in \mathbb{Z}$ , if  $3 \mid (m-n)$  and  $3 \mid (n-p)$  then  $3 \mid (m-p)$ .

Is this true? Suppose m, n, and p are particular but arbitrarily chosen integers such that  $3 \mid (m-n)$  and  $3 \mid (n-p)$ .

Must it follow that  $3 \mid (m-p)$ ? [In other words, can we find an integer so that  $m-p=3 \cdot (that integer)$ ?]



#### Example 4(c) – Solution

By definition of "divides," since

$$3 \mid (m-n) \text{ and } 3 \mid (n-p),$$

then

m-n=3r for some integer r,

and

$$n - p = 3s$$

n - p = 3s for some integer s.

The crucial observation is that (m-n) + (n-p) = m-p.

Add these two equations together to obtain

$$(m-n) + (n-p) = 3r + 3s$$
,

which is equivalent to m - p = 3(r + s).

[Thus we have found an integer so that  $m - p = 3 \cdot (that)$ integer).]



#### Example 4(c) – Solution

Since r and s are integers, r + s is an integer. So this equation shows that

$$3 \mid (m-p)$$
.

It follows that T is transitive.

The reasoning is formalized in the following proof.

**Proof of Transitivity:** Suppose m, n, and p are particular but arbitrarily chosen integers that satisfy the condition  $m \ T \ n$  and  $n \ T \ p$ . [We must show that  $m \ T \ p$ .] By definition of T, since  $m \ T \ n$  and  $n \ T \ p$ , then  $3 \mid (m - n)$  and  $3 \mid (n - p)$ . By definition of "divides," this means that m - n = 3r and n - p = 3s, for some integers r and s. Adding the two equations gives (m - n) + (n - p) = 3r + 3s, and simplifying gives that m - p = 3(r + s). Since r + s is an integer, this equation shows that  $3 \mid (m - p)$ . Hence, by definition of T,  $m \ T \ p$  [as was to be shown].



# The Transitive Closure of a Relation



#### The Transitive Closure of a Relation

Generally speaking, a relation fails to be transitive because it fails to contain certain ordered pairs.

For example, if (1, 3) and (3, 4) are in a relation R, then the pair (1, 4) must be in R if R is to be transitive.

To obtain a transitive relation from one that is not transitive, it is necessary to add ordered pairs.

Roughly speaking, the relation obtained by adding the least number of ordered pairs to ensure transitivity is called the *transitive closure* of the relation.



#### The Transitive Closure of a Relation

In a sense made precise by the formal definition, the transitive closure of a relation is the smallest transitive relation that contains the relation.

#### Definition

Let A be a set and R a relation on A. The **transitive closure** of R is the relation  $R^t$  on A that satisfies the following three properties:

- 1.  $R^t$  is transitive.
- 2.  $R \subseteq R^t$ .
- 3. If S is any other transitive relation that contains R, then  $R^t \subseteq S$ .



#### Example 5 – Transitive Closure of a Relation

Let  $A = \{0, 1, 2, 3\}$  and consider the relation R defined on A as follows:

$$R = \{(0, 1), (1, 2), (2, 3)\}.$$

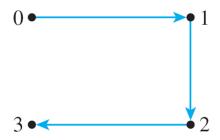
Find the transitive closure of R.

#### Solution:

Every ordered pair in R is in  $R^t$ , so

$$\{(0, 1), (1, 2), (2, 3)\} \subseteq R^t$$
.

Thus the directed graph of *R* contains the arrows shown at the right.





#### Example 5 – Solution

Since there are arrows going from 0 to 1 and from 1 to 2,  $R^t$  must have an arrow going from 0 to 2.

Hence  $(0, 2) \in R^t$ . Then  $(0, 2) \in R^t$  and  $(2, 3) \in R^t$ , so since  $R^t$  is transitive,  $(0, 3) \in R^t$ .

Also, since  $(1, 2) \in R^t$  and  $(2, 3) \in R^t$ , then  $(1, 3) \in R^t$ .

Thus  $R^t$  contains at least the following ordered pairs:

$$\{(0, 1), (0, 2), (0, 3), (1, 2), (1, 3), (2, 3)\}.$$

But this relation is transitive; hence it equals  $R^t$ . Note that the directed graph of  $R^t$  is as shown at the right.

