

Introduction to Languages and Grammars

Alphabets and Languages

An alphabet is a finite non-empty set.

Let S and T be alphabets.

$$S \cdot T = \{ s t \mid s \in S, t \in T \}$$

(We'll often write ST for $S \cdot T$.)

λ = empty string, string of length one

$$S^0 = \{ \lambda \}$$

$$S^1 = S$$

$$S^n = S^{(n-1)} \cdot S, n > 1$$

$$S^+ = S^1 \cup S^2 \cup S^3 \cup \dots$$

$$S^* = S^0 \cup S^+$$

A language L over an alphabet S is a subset of S^* .

How Many Languages Are There?

- How many languages over a particular alphabet are there?
Uncountably infinitely many!
- Then, any finite method of describing languages can not include all of them.
- Formal language theory gives us techniques for defining some languages over an alphabet.

Why should I care about ?

- Concepts of syntax and semantics used widely in computer science:
- Basic compiler functions
- Development of computer languages
- Exploring the capabilities and limitations of algorithmic problem solving

Methods for Defining Languages

- Grammar
 - Rules for defining which strings over an alphabet are in a particular language
- Automaton (plural is automata)
 - A mathematical model of a computer which can determine whether a particular string is in the language

Definition of a Grammar

- A grammar G is a 4 tuple $G = (N, \Sigma, P, S)$, where
 - N is an alphabet of nonterminal symbols
 - Σ is an alphabet of terminal symbols
 - N and Σ are disjoint
 - S is an element of N ; S is the start symbol or initial symbol of the grammar
 - P is a set of productions of the form $\alpha \rightarrow \beta$ where
 - α is in $(N \cup \Sigma)^* N (N \cup \Sigma)^*$
 - β is in $(N \cup \Sigma)^*$

Definition of a Language Generated by a Grammar

We define $\Rightarrow$ by

$\gamma \alpha \delta \Rightarrow \gamma \delta \beta$ if

$\alpha \rightarrow \beta$ is in P , and

γ and δ are in $(N \cup \Sigma)^*$

$\Rightarrow^+$ is the transitive closure of $\Rightarrow$

$\Rightarrow^*$ is the reflexive transitive closure of $\Rightarrow$

The language L generated by grammar $G = (N, \Sigma, P, S)$, is defined by

$L = L(G) = \{ x \mid S \Rightarrow^* x \text{ and } x \text{ is in } \Sigma^* \}$

Classes of Grammars (The Chomsky Hierarchy)

- Type 0, Phrase Structure (same as basic grammar definition)
- Type 1, Context Sensitive
 - (1) $\alpha \rightarrow \beta$ where α is in $(N \cup \Sigma)^* N (N \cup \Sigma)^*$,
 - β is in $(N \cup \Sigma)^+$, and $\text{length}(\alpha) \leq \text{length}(\beta)$
 - (2) $\gamma A \delta \rightarrow \gamma \beta \delta$ where A is in N , β is in $(N \cup \Sigma)^+$, and
 - γ and δ are in $(N \cup \Sigma)^*$
- Type 2, Context Free
 - $A \rightarrow \beta$ where A is in N , β is in $(N \cup \Sigma)^*$
- Linear
 - $A \rightarrow x$ or $A \rightarrow x B y$, where A and B are in N and x and y are in Σ^*
- Type 3, Regular Expressions
 - (1) left linear $A \rightarrow B a$ or $A \rightarrow a$, where A and B are in N and a is in Σ
 - (2) right linear $A \rightarrow a B$ or $A \rightarrow a$, where A and B are in N and a is in Σ

Comments on the Chomsky Hierarchy (1)

- Definitions (1) and (2) for context sensitive are equivalent.
- Definitions (1) and (2) for regular expressions are equivalent.
- If a grammar has productions of all three of the forms described in definitions (1) and (2) for regular expressions, then it is a linear grammar.
- Each definition of context sensitive is a restriction on the definition of phrase structure.
- Every context free grammar can be converted to a context sensitive grammar with satisfies definition (2) which generates the same language except the language generated by the context sensitive grammar cannot contain the empty string λ .
- The definition of linear grammar is a restriction on the definition of context free.
- The definitions of left linear and right linear are restrictions on the definition of linear.

Comments on the Chomsky Hierarchy

- Every language generated by a left linear grammar can be generated by a right linear grammar, and every language generated by a right linear grammar can be generated by a left linear grammar.
- Every language generated by a left linear or right linear grammar can be generated by a linear grammar.
- Every language generated by a linear grammar can be generated by a context free grammar.
- Let L be a language generated by a context free grammar. If L does not contain λ , then L can be generated by a context sensitive grammar. If L contains λ , then $L - \{\lambda\}$ can be generated by a context sensitive grammar.
- Every language generated by a context sensitive grammar can be generated by a phrase structure grammar.

Example : A Left Linear Grammar for Identifiers

• $S \rightarrow S a$

• $S \rightarrow S b$

• $S \rightarrow S 1$

• $S \rightarrow S 2$

• $S \rightarrow a$

• $S \rightarrow b$

• $S \Rightarrow a$

• $S \Rightarrow S 1 \Rightarrow a 1$

• $S \Rightarrow S 2 \Rightarrow S b 2$

$\Rightarrow S 1 b 2 \Rightarrow a 1 b 2$

Example : A Right Linear Grammar for Identifiers

- $S \rightarrow aT$ $T \rightarrow 1T$
- $S \rightarrow bT$ $T \rightarrow 2T$
- $S \rightarrow a$ $T \rightarrow a$
- $S \rightarrow b$ $T \rightarrow b$
- $T \rightarrow aT$ $T \rightarrow 1$
- $T \rightarrow bT$ $T \rightarrow 2$

- $S \Rightarrow a$

- $S \Rightarrow aT \Rightarrow a1$

- $S \Rightarrow aT \Rightarrow a1T$
 $\Rightarrow a1bT \Rightarrow a1b2$

Example:
A Right Linear Grammar for $\{a^n b^m c^p \mid n, m, p > 0\}$

- $S \rightarrow a A$
- $A \rightarrow a A$
- $A \rightarrow b B$
- $B \rightarrow b B$
- $B \rightarrow c C$
- $B \rightarrow c$
- $C \rightarrow c C$
- $C \rightarrow c$

$S \Rightarrow a A \Rightarrow a a A$
 $\Rightarrow a a a A$
 $\Rightarrow a a a b B$
 $\Rightarrow a a a b c C$
 $\Rightarrow a a a b c c$

Example : A Linear Grammar for $\{ a^n b^n \mid n > 0 \}$

- $S \rightarrow a S b$
- $S \rightarrow a b$

$S \Rightarrow a S b$
 $\Rightarrow a a S b b$
 $\Rightarrow a a a S b b b$
 $\Rightarrow a a a a b b b b$

Example : A Linear Grammar for $\{a^n b^m c^m d^n \mid n > 0\}$
(Context Free Grammar)

- $S \rightarrow a S b$
- $S \rightarrow a T b$
- $T \rightarrow c T d$
- $T \rightarrow c d$

$S \Rightarrow a S b$
 $\Rightarrow a a S b b$
 $\Rightarrow a a a T b b b$
 $\Rightarrow a a a c T d b b b$
 $\Rightarrow a a a c c d d b b b$

Another Example :

A Context Free Grammar for $\{a^n b^n c^m d^m \mid n > 0\}$

- $S \rightarrow R T$

- $R \rightarrow a R b$

- $R \rightarrow a b$

- $T \rightarrow c T d$

- $T \rightarrow c d$

$$S \Rightarrow R T$$
$$\Rightarrow a R b T$$
$$\Rightarrow a a R b b T$$
$$\Rightarrow a a a b b b T$$
$$\Rightarrow a a a b b b c T d$$
$$\Rightarrow a a a b b b c c d d$$

A Context Free Grammar for Expressions

$$\bullet S \rightarrow E$$

$$\bullet E \rightarrow E + T$$

$$\bullet E \rightarrow E - T$$

$$\bullet E \rightarrow T$$

$$\bullet T \rightarrow T * F$$

$$\bullet T \rightarrow T / F$$

$$\bullet T \rightarrow F$$

$$F \rightarrow (E)$$

$$F \rightarrow a$$

$$F \rightarrow b$$

$$F \rightarrow c$$

$$F \rightarrow d$$

$$F \rightarrow e$$

$$\bullet S \Rightarrow E \Rightarrow E + T$$

$$\quad - \Rightarrow E - T + T$$

$$\quad - \Rightarrow T - T + T$$

$$\quad - \Rightarrow F - T + T$$

$$\quad - \Rightarrow a - T + T$$

$$\quad - \Rightarrow a - T * F + T$$

$$\quad - \Rightarrow a - F * F + T$$

$$\quad - \Rightarrow a - b * F + T$$

$$\quad - \Rightarrow a - b * c + T$$

$$\quad - \Rightarrow a - b * c + F$$

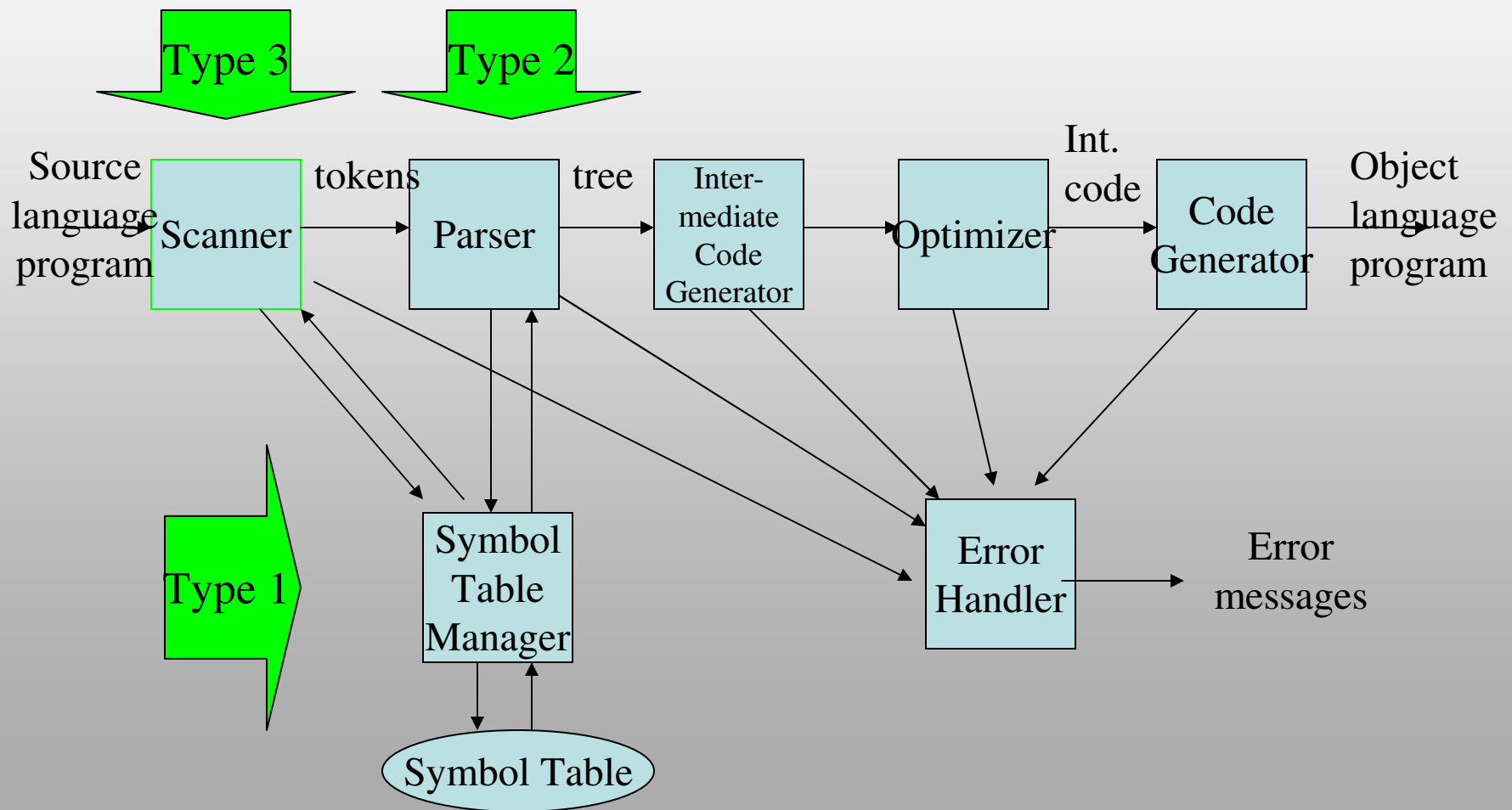
$$\quad - \Rightarrow a - b * c - d$$

A Context Sensitive Grammar for $\{a^n b^n c^n \mid n > 0\}$

- $S \rightarrow a S B C$
- $S \rightarrow a B C$
- $a B \rightarrow a b$
- $b B \rightarrow b b$
- $C B \rightarrow B C$
- $b C \rightarrow b c$
- $c C \rightarrow c c$

$S \Rightarrow a S B C$
 $\Rightarrow a a B C B C$
 $\Rightarrow a a b C B C$
 $\Rightarrow a a b B C C$
 $\Rightarrow a a b b C C$
 $\Rightarrow a a b b c C$
 $\Rightarrow a a b b c c$

The Chomsky Hierarchy and the Block Diagram of a Compiler



Automata

- Turing machine (Tm)
- Linear bounded automaton (lba)
- 2-stack pushdown automaton (2pda)
- (1-stack) pushdown automaton (pda)
- 1 turn pushdown automaton
- finite state automaton (fsa)

Recursive Definition

Primitive regular expressions: $\emptyset$, λ , α
Given regular expressions r_1 and r_2

$$r_1 + r_2$$

$$r_1 \cdot r_2$$

$$r_1^*$$

$$(r_1)$$

Are regular expressions

Example $(a + b) \cdot a^*$

$$\begin{aligned} L((a + b) \cdot a^*) &= L((a + b)) L(a^*) \\ &= L(a + b) L(a^*) \\ &= (L(a) \cup L(b)) (L(a))^* \\ &= (\{a\} \cup \{b\}) (\{a\})^* \\ &= \{a, b\} \{\lambda, a, aa, aaa, \dots\} \\ &= \{a, aa, aaa, \dots, b, ba, baa, \dots\} \end{aligned}$$

Example $(a + b) \cdot a^*$

$$\begin{aligned} L((a + b) \cdot a^*) &= L((a + b)) L(a^*) \\ &= L(a + b) L(a^*) \\ &= (L(a) \cup L(b)) (L(a))^* \\ &= (\{a\} \cup \{b\}) (\{a\})^* \\ &= \{a, b\} \{\lambda, a, aa, aaa, \dots\} \\ &= \{a, aa, aaa, \dots, b, ba, baa, \dots\} \end{aligned}$$

Example

- Regular expression $r = (aa)^*(bb)^*b$

$$L(r) = \{a^{2n}b^{2m}b : n, m \geq 0\}$$

Example

Regular expression $r = (0 + 1)^* 00 (0 + 1)^*$

$L(r) = \{ \text{all strings with at least} \\ \text{two consecutive 0} \}$

Example

- Regular expression $r = (1 + 01)^* (0 + \lambda)$

$L(r) = \{ \text{all strings without} \\ \text{two consecutive 0} \}$

Equivalent Regular Expressions

- Definition:

Regular expressions r_1 and r_2

are equivalent if $L(r_1) = L(r_2)$

Example

$L = \{ \text{all strings without} \\ \text{two consecutive 0} \}$

$$r_1 = (1 + 01)^* (0 + \lambda)$$

$$r_2 = (1^* 0 1 1^*)^* (0 + \lambda) + 1^* (0 + \lambda)$$

$L(r_1) = L(r_2) = L \quad \Rightarrow \quad r_1 \text{ and } r_2$
are equivalent
regular expr.

Linear Grammars

Grammars with
at most one variable at the right side
of a production

Examples:

$S \rightarrow aSb$	$S \rightarrow Ab$
$S \rightarrow \lambda$	$A \rightarrow aAb$
	$A \rightarrow \lambda$

A Non-Linear Grammar

Grammar G :

$$S \rightarrow SS$$
$$S \rightarrow \lambda$$
$$S \rightarrow aSb$$
$$S \rightarrow bSa$$

$$L(G) = \{w : n_a(w) = n_b(w)\}$$

Number of a in string w



Another Linear Grammar

Grammar G :

$$\begin{aligned} S &\rightarrow A \\ A &\rightarrow aB \mid \lambda \\ B &\rightarrow Ab \end{aligned}$$

$$L(G) = \{a^n b^n : n \geq 0\}$$

Right-Linear Grammars

- All productions have form: $A \rightarrow xB$
or

$$A \rightarrow x$$

- Example:
 $S \rightarrow abS$
 $S \rightarrow a$

string of
terminals



Left-Linear Grammars

All productions have form:

$$A \rightarrow Bx$$

or

$$A \rightarrow x$$



string of
terminals

Example:

$$S \rightarrow Aab$$

$$A \rightarrow Aab \mid B$$

$$B \rightarrow a$$

Regular Grammars

A **regular grammar** is any
right-linear or left-linear grammar

Examples: G_1

$$S \rightarrow abS$$

$$S \rightarrow a$$

G_2

$$S \rightarrow Aab$$

$$A \rightarrow Aab \mid B$$

$$B \rightarrow a$$

Observation

Regular grammars generate regular languages

Examples:

G_1

$S \rightarrow abS$

$S \rightarrow a$

$L(G_1) = (ab)^* a$

G_2

$S \rightarrow Aab$

$A \rightarrow Aab \mid B$

$B \rightarrow a$

$L(G_2) = aab(ab)^*$

Closure under language operations

- Theorem. The set of regular languages is closed under the all the following operations. In other words if L_1 and L_2 are regular, then so is:
 - Union: $L_1 \cup L_2$
 - Intersection: $L_1 \cap L_2$
 - Complement: $L_1^c = \Sigma^* \setminus L_1$
 - Difference: $L_1 \setminus L_2$
 - Concatenation: $L_1 L_2$
 - Kleene star: L_1^*

Regular expressions versus regular languages

- We defined a *regular language* to be one that is accepted by some DFA (or NFA).
- We can prove that a language is regular by this definition if and only if it corresponds to some regular expression. Thus,
 - 1) Given a DFA or NFA, there is some regular expression to describe the language it accepts
 - 2) Given a regular expression, we can construct a DFA or NFA to accept the language it represents

Application: Lexical-analyzers and lexical-analyzer generators

- *Lexical analyzer:*
 - Input: Character string comprising a computer program in some language
 - Output: A string of symbols representing *tokens* – elements of that language
- **Ex in C++ or Java :**
 - Input: `if (x == 3) y = 2;`
 - Output (sort of): `if`-token, `expression`-token, `variable-name`-token, `assignment`-token, `numeric-constant` token, `statement-separator`-token.

Lexical-analyzer generators

- **Input:** A list of tokens in a programming language, described as regular expressions
- **Output:** A lexical analyzer for that language
- **Technique:** Builds an NFA recognizing the language tokens, then converts to DFA.

Regular Expressions: Applications and Limitations

- Regular expressions have many applications:
 - Specification of syntax in programming languages
 - Design of lexical analyzers for compilers
 - Representation of patterns to match in search engines
 - Provide an abstract way to talk about *programming problems* (language corresponds to inputs that produce output *yes* in a yes/no programming problem)
- Limitations
 - There are lots of reasonable languages that are *not* regular – hence lots of programming problems that can't be solved using power of a DFA or NFA

CFL

-

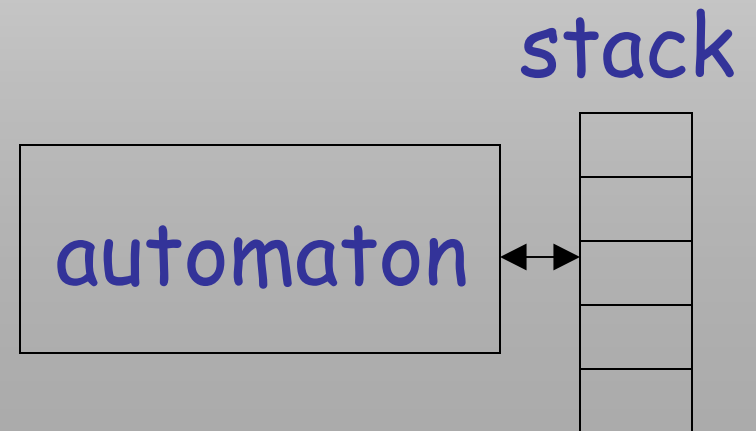
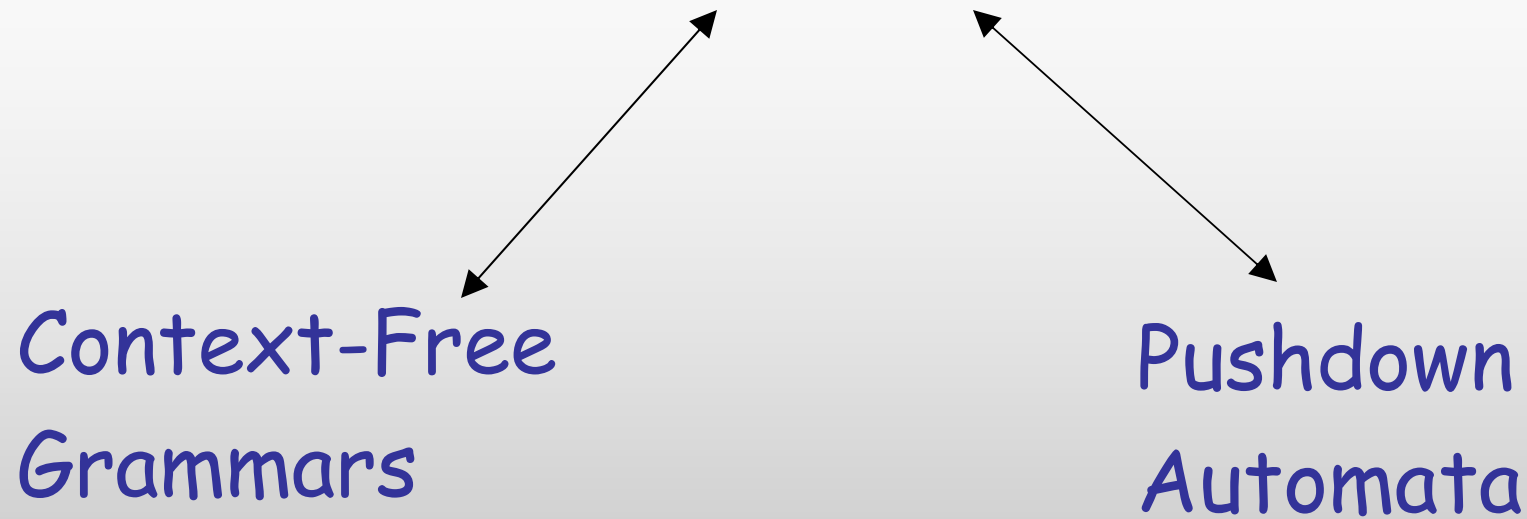
Context-Free Languages

$$\{a^n b^n\}$$

$$\{ww^R\}$$

Regular Languages

Context-Free Languages



Example

A context-free grammar G :

$$S \rightarrow aSb$$
$$S \rightarrow \lambda$$

A derivation:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$$

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

$$L(G) = \{a^n b^n : n \geq 0\}$$

Describes parentheses: (((()))

Example

A context-free grammar G :

$$S \rightarrow aSa$$

$$S \rightarrow bSb$$

$$S \rightarrow \lambda$$

A derivation:

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abba$$

$$S \rightarrow aSa$$

$$S \rightarrow bSb$$

$$S \rightarrow \lambda$$

$$L(G) = \{ ww^R : w \in \{a,b\}^* \}$$

Example

A context-free grammar G : $S \rightarrow aSb$

$$S \rightarrow SS$$

$$S \rightarrow \lambda$$

A derivation:

$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow ab$$

A context-free grammar G : $S \rightarrow aSb$

$$S \rightarrow SS$$

$$S \rightarrow \lambda$$

A derivation:

$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow abaSb \Rightarrow abab$$

Definition: Context-Free Languages

A language L is context-free

if and only if there is a

context-free grammar G

with $L = L(G)$

Derivation Order

1. $S \rightarrow AB$ 2. $A \rightarrow aaA$ 4. $B \rightarrow Bb$
3. $A \rightarrow \lambda$ 5. $B \rightarrow \lambda$

Leftmost derivation:

$$\begin{array}{ccccccccc} 1 & & 2 & & 3 & & 4 & & 5 \\ S & \Rightarrow & AB & \Rightarrow & aaAB & \Rightarrow & aaB & \Rightarrow & aaBb & \Rightarrow & aab \end{array}$$

Rightmost derivation:

$$\begin{array}{ccccccccc} 1 & & 4 & & 5 & & 2 & & 3 \\ S & \Rightarrow & AB & \Rightarrow & ABb & \Rightarrow & Ab & \Rightarrow & aaAb & \Rightarrow & aab \end{array}$$

$$S \rightarrow aAB$$

$$A \rightarrow bBb$$

$$B \rightarrow A \mid \lambda$$

Leftmost derivation:

$$\begin{aligned} S &\Rightarrow aAB \Rightarrow abBbB \Rightarrow abAbB \Rightarrow abbBbbB \\ &\Rightarrow abbbbB \Rightarrow abbbb \end{aligned}$$

Rightmost derivation:

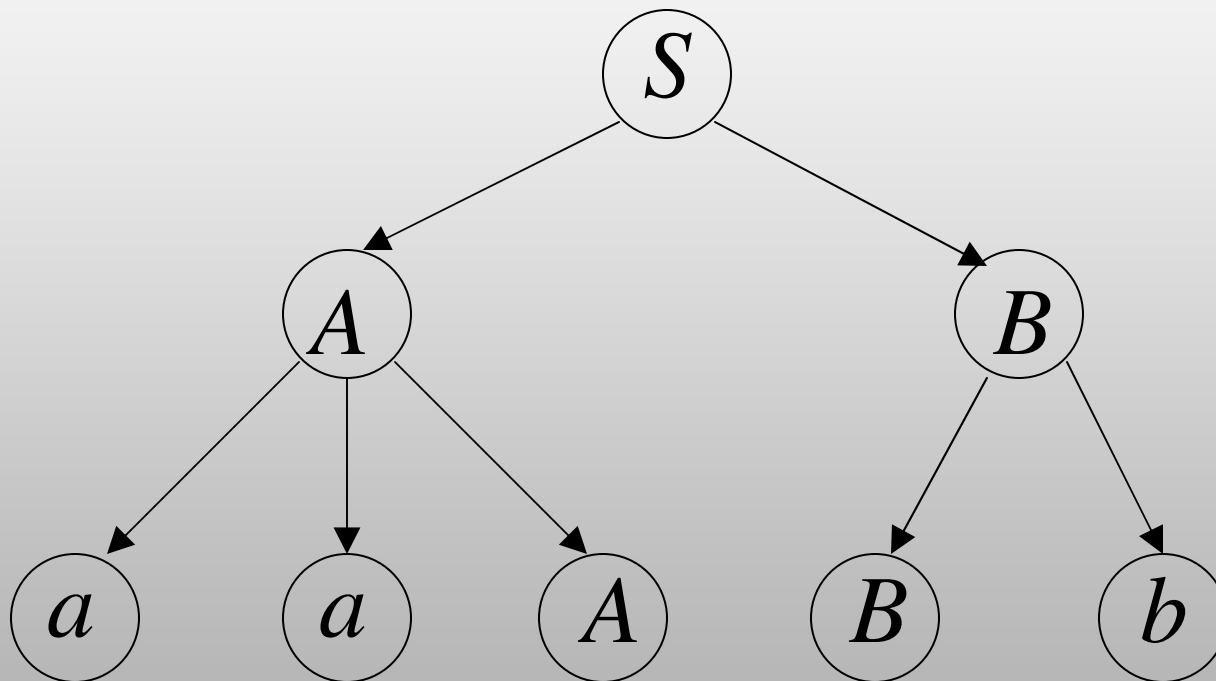
$$\begin{aligned} S &\Rightarrow aAB \Rightarrow aA \Rightarrow abBb \Rightarrow abAb \\ &\Rightarrow abbBbb \Rightarrow abbbb \end{aligned}$$

$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \lambda$$

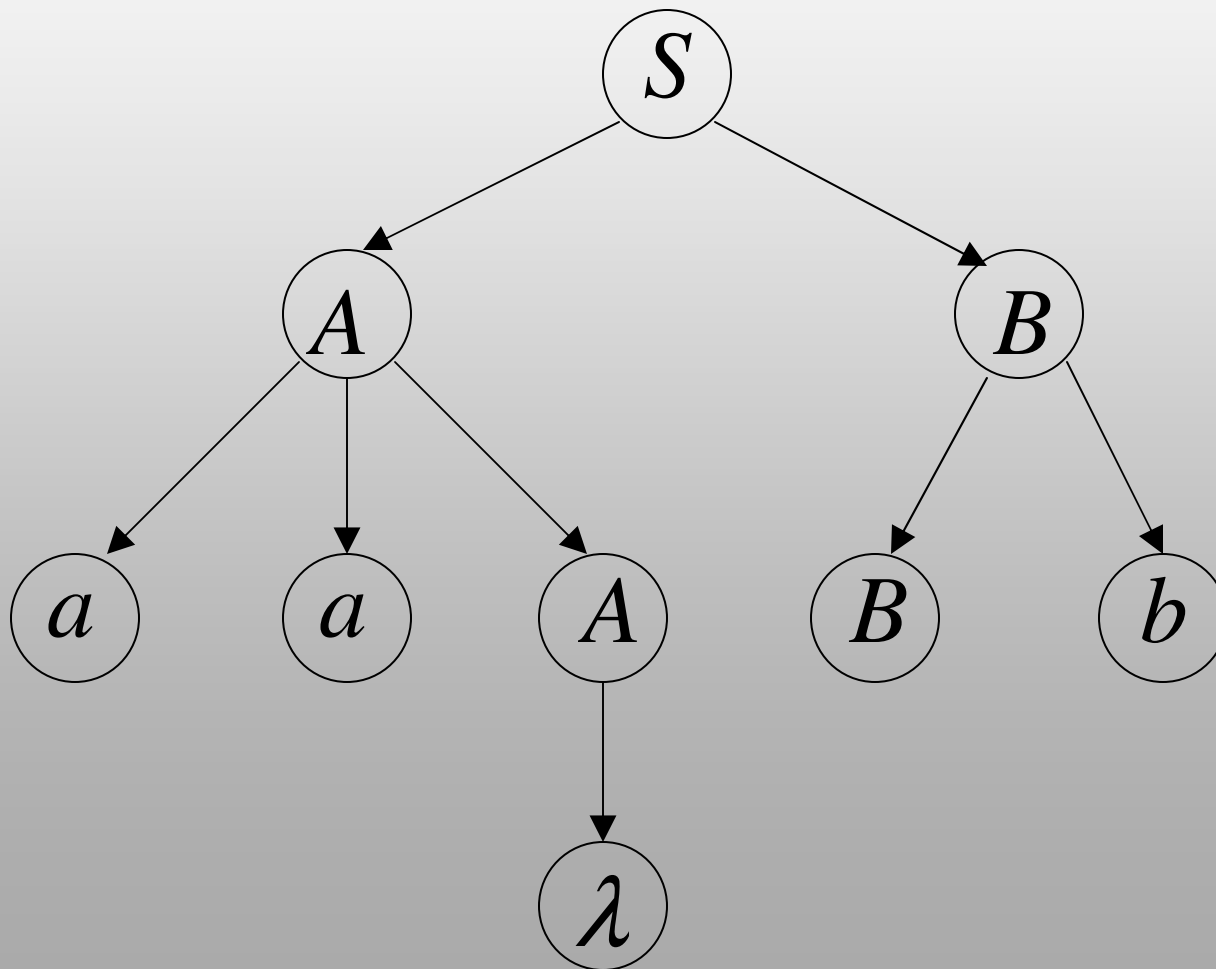
$$B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb$$



$$S \rightarrow AB \qquad A \rightarrow aaA \mid \lambda \qquad B \rightarrow Bb \mid \lambda$$

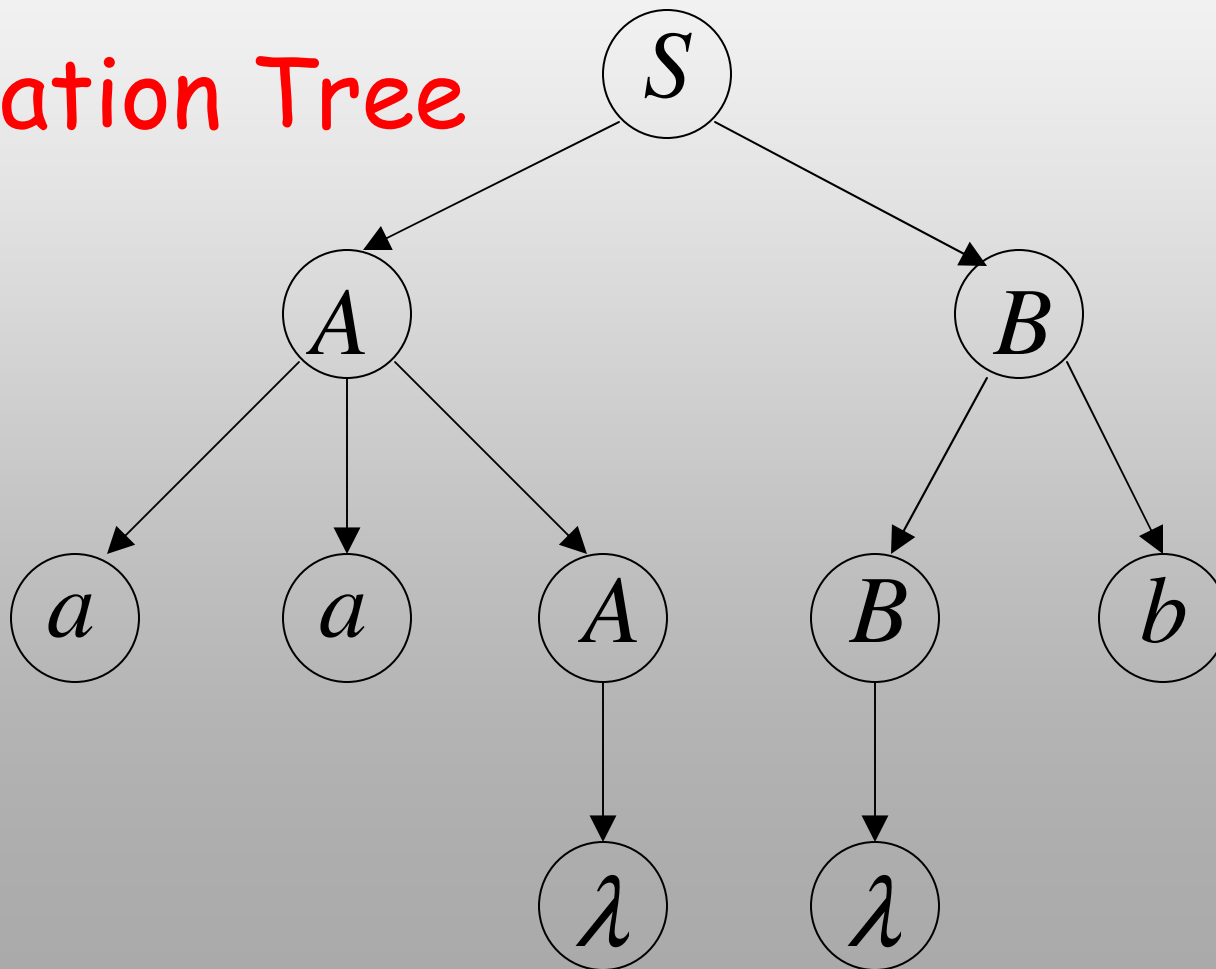
$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb$$



$$S \rightarrow AB \qquad A \rightarrow aaA \mid \lambda \qquad B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

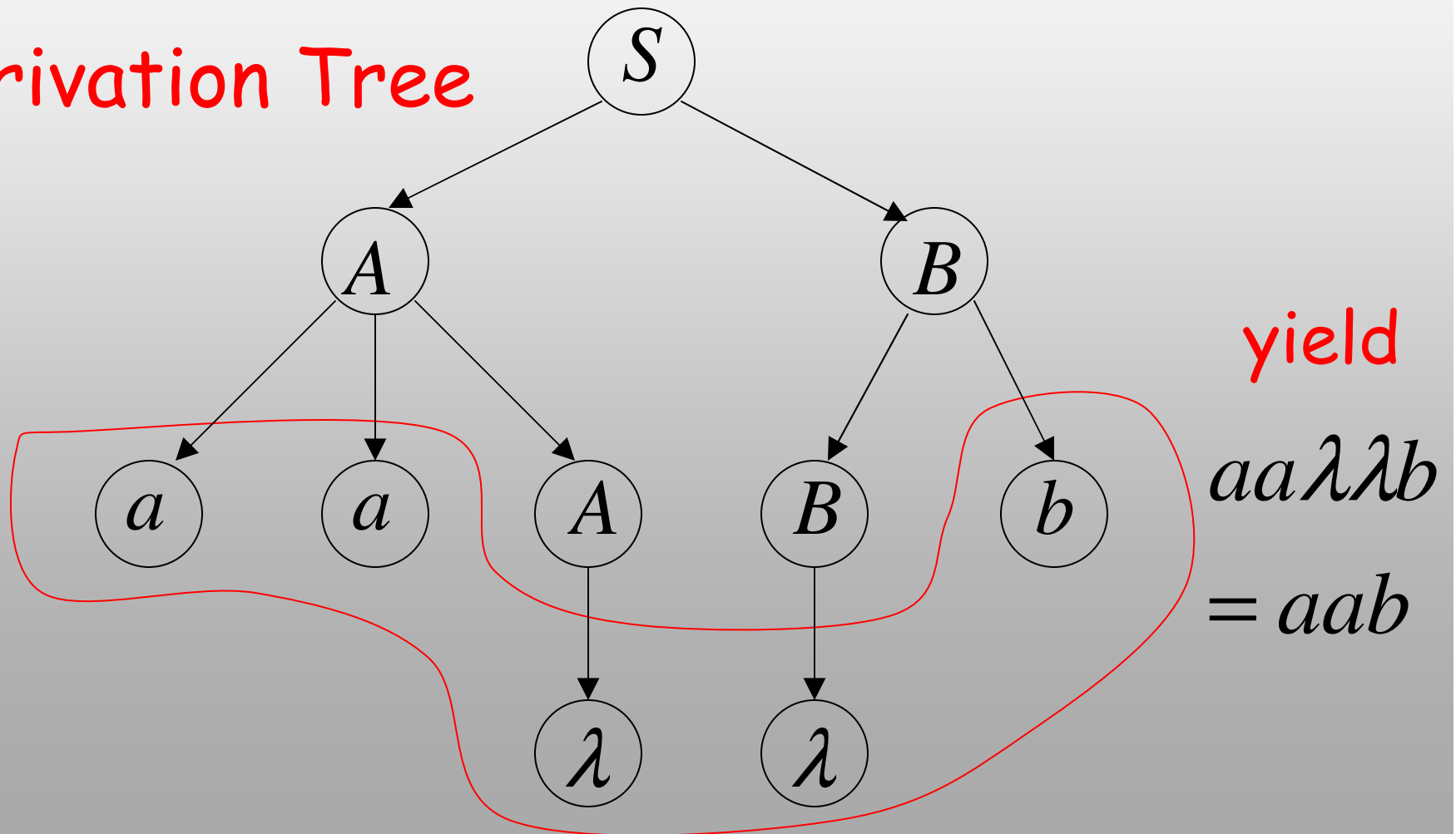
Derivation Tree



$$S \rightarrow AB \qquad A \rightarrow aaA \mid \lambda \qquad B \rightarrow Bb \mid \lambda$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

Derivation Tree



Sometimes, derivation order doesn't matter

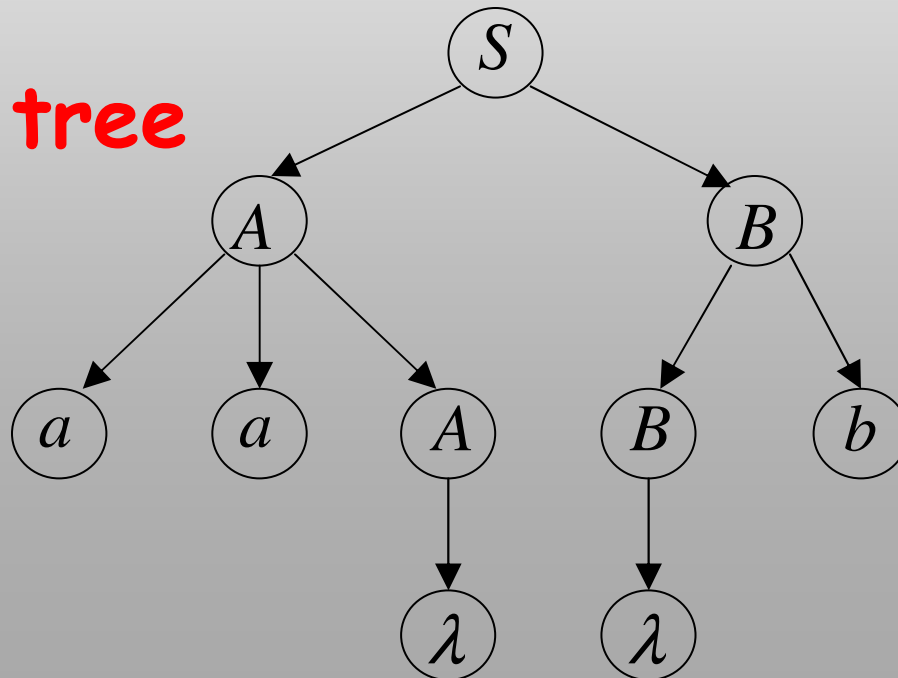
Leftmost:

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaB \Rightarrow aaBb \Rightarrow aab$$

Rightmost:

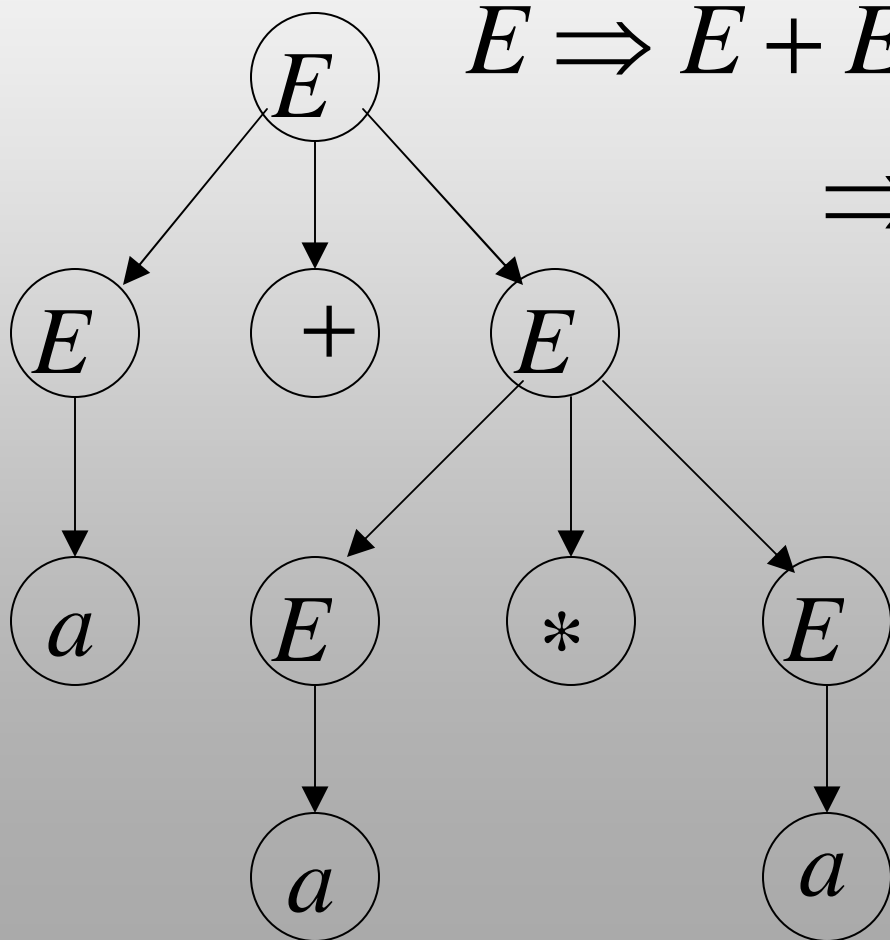
$$S \Rightarrow AB \Rightarrow ABb \Rightarrow Ab \Rightarrow aaAb \Rightarrow aab$$

Same derivation tree



$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

$$a + a * a$$



$$\begin{aligned}
 E &\Rightarrow E + E \Rightarrow a + E \Rightarrow a + E * E \\
 &\Rightarrow a + a * E \Rightarrow a + a * a
 \end{aligned}$$

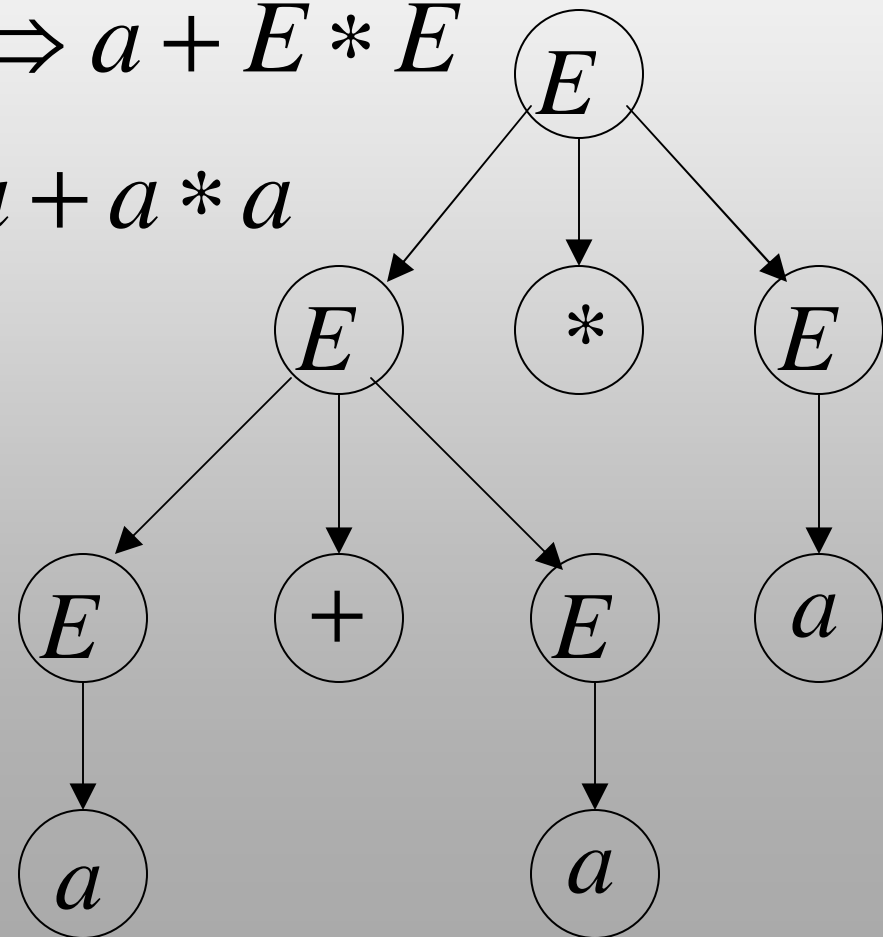
leftmost derivation

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

$$a + a * a$$

$$E \Rightarrow E * E \Rightarrow E + E * E \Rightarrow a + E * E \\ \Rightarrow a + a * E \Rightarrow a + a * a$$

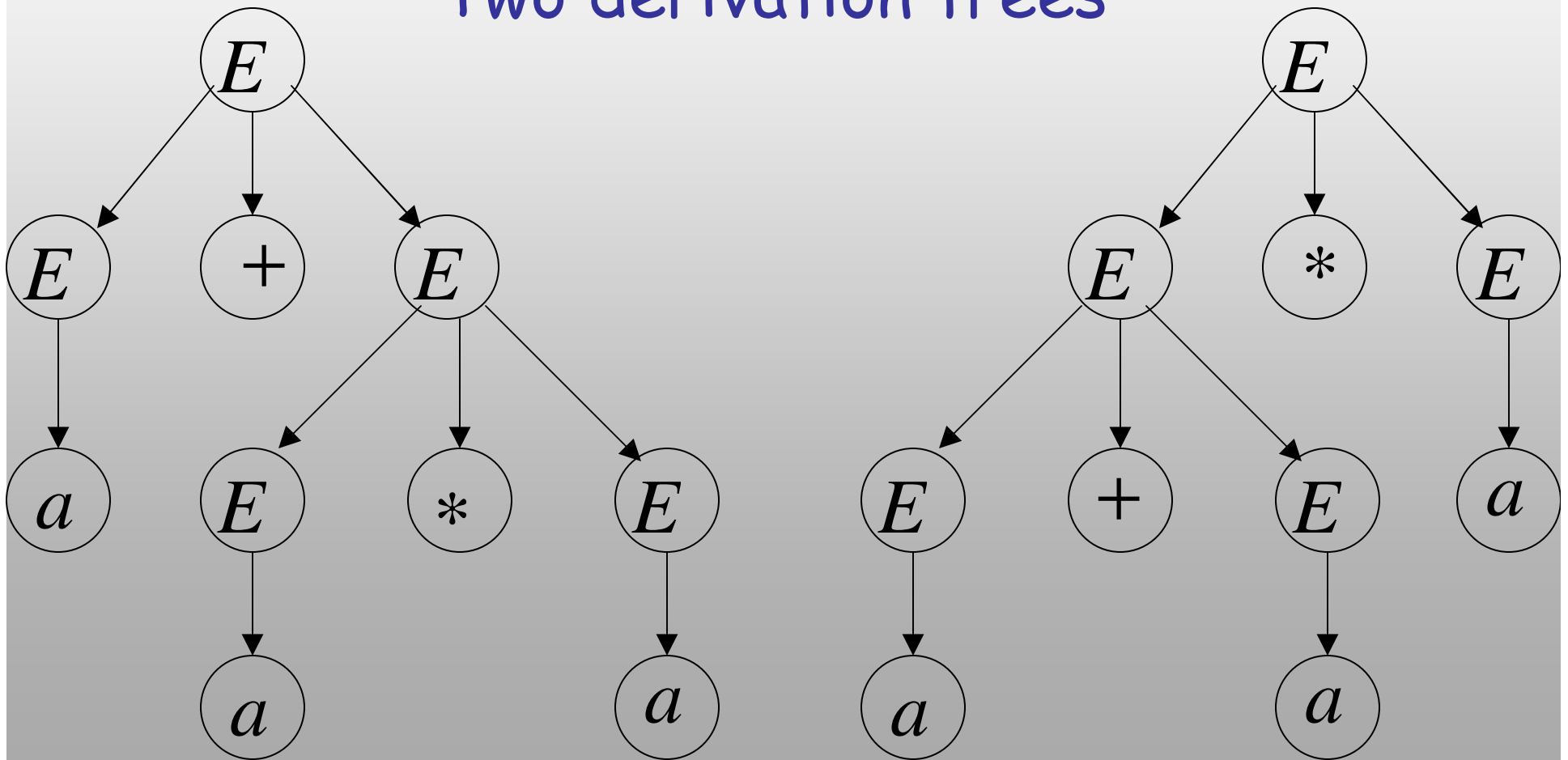
leftmost derivation



$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

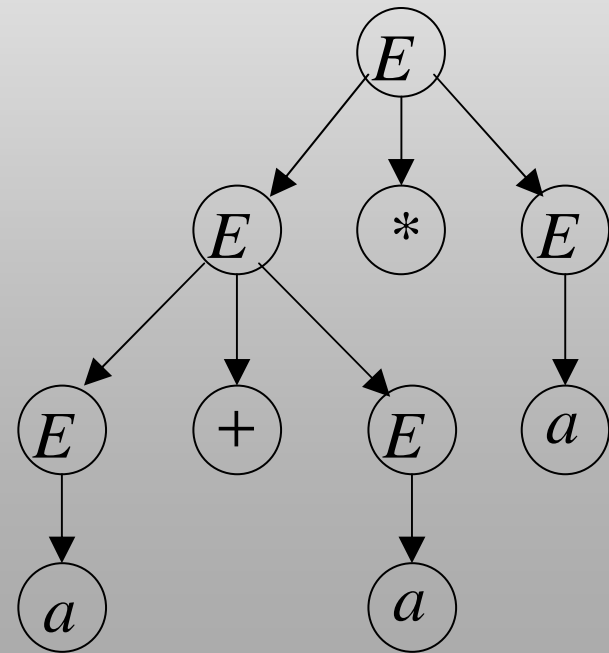
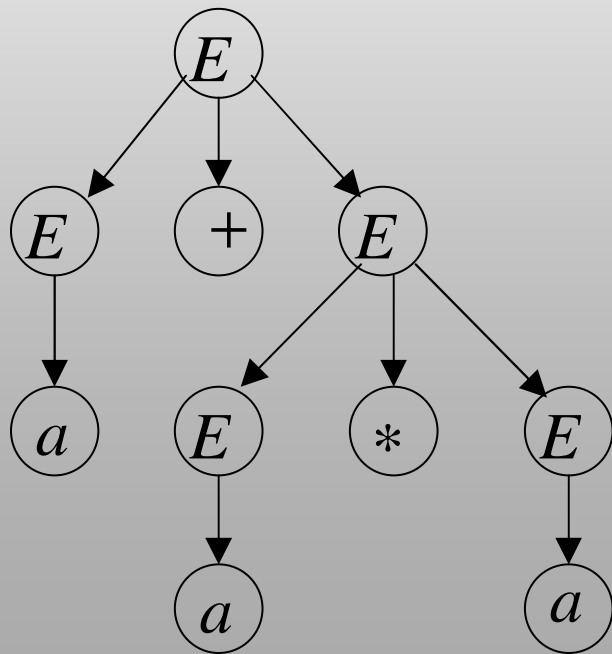
$$a + a * a$$

Two derivation trees



The grammar $E \rightarrow E + E \mid E * E \mid (E) \mid a$
is ambiguous:

string $a + a * a$ has two derivation trees



Definition:

A context-free grammar G is **ambiguous**

if some string $w \in L(G)$ has:

two or more derivation trees

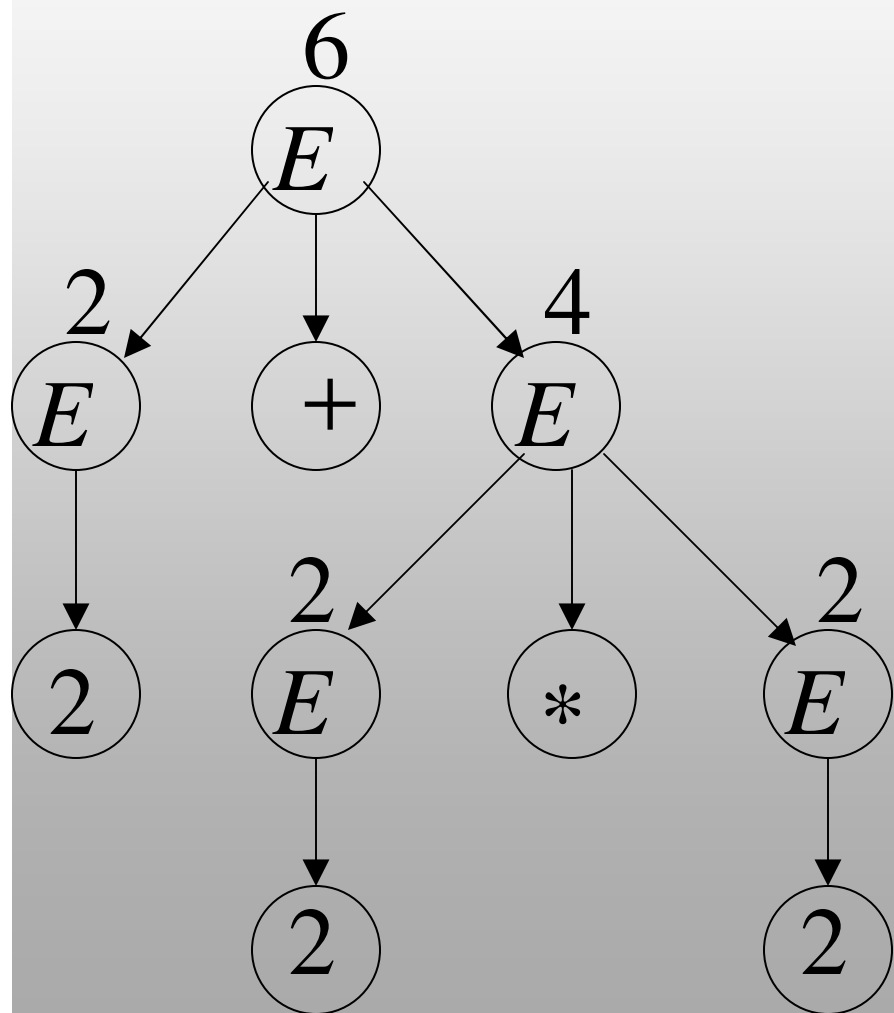
In other words:

A context-free grammar G is **ambiguous**

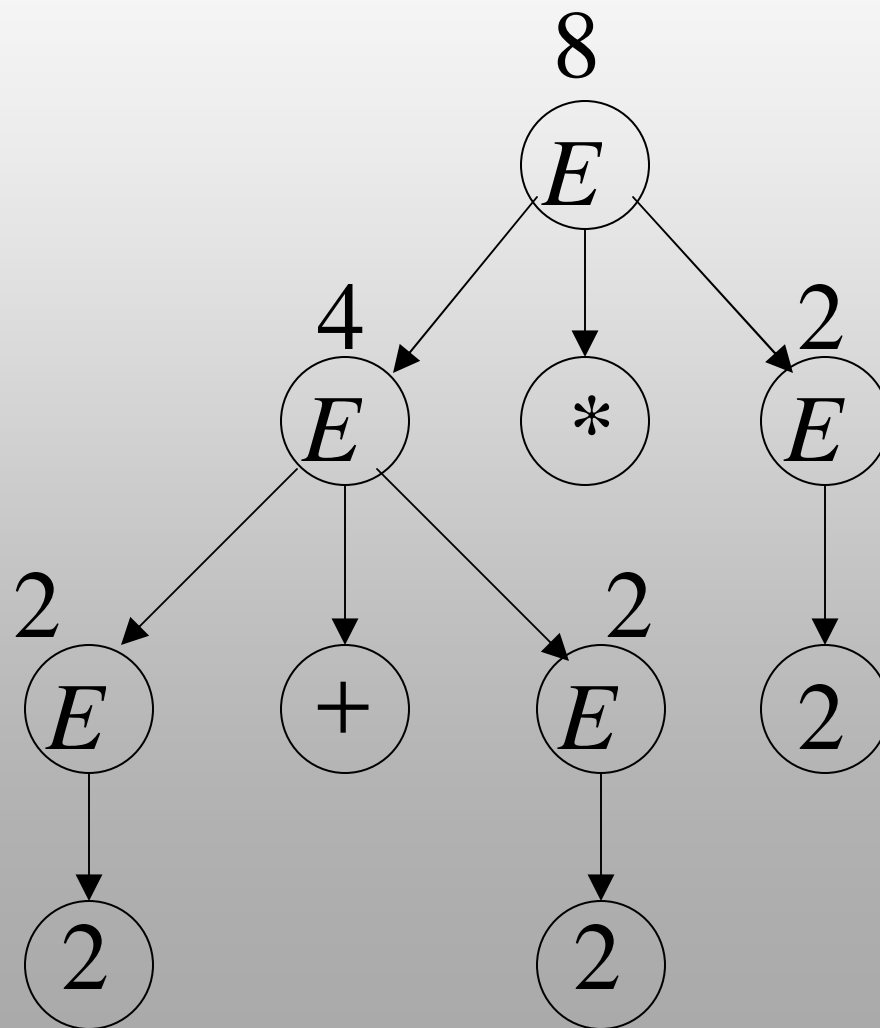
if some string $w \in L(G)$ has:

two or more leftmost derivations
(or rightmost)

$$2 + 2 * 2 = 6$$



$$2 + 2 * 2 = 8$$



- Ambiguity is **bad** for programming languages
- We want to remove ambiguity

We fix the **ambiguous** grammar:

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

New **non-ambiguous** grammar: $E \rightarrow E + T$

$$E \rightarrow T$$

$$T \rightarrow T * F$$

$$T \rightarrow F$$

$$F \rightarrow (E)$$

$$F \rightarrow a$$

$$\begin{aligned}
 E &\Rightarrow E + T \Rightarrow T + T \Rightarrow F + T \Rightarrow a + T \Rightarrow a + T * F \\
 &\Rightarrow a + F * F \Rightarrow a + a * F \Rightarrow a + a * a
 \end{aligned}$$

$$E \rightarrow E + T$$

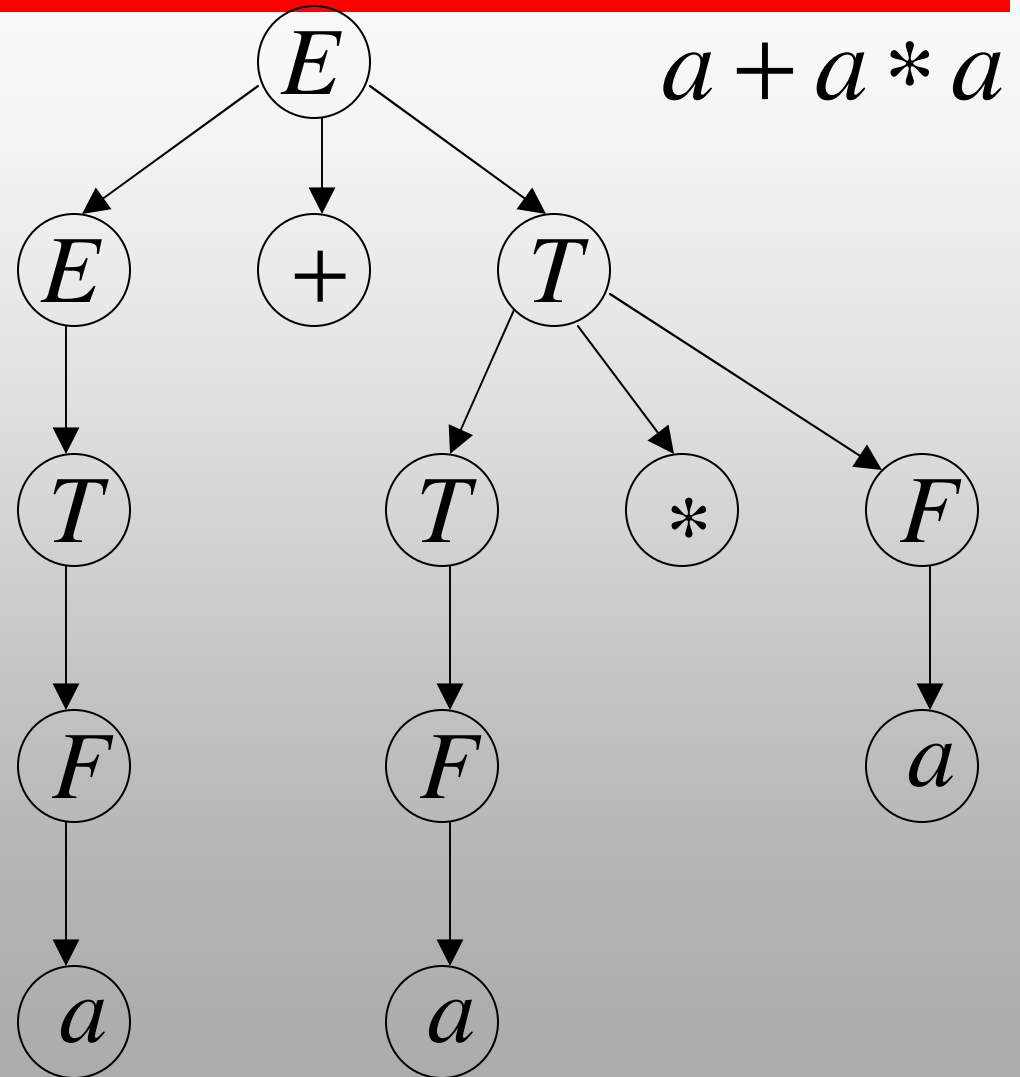
$$E \rightarrow T$$

$$T \rightarrow T * F$$

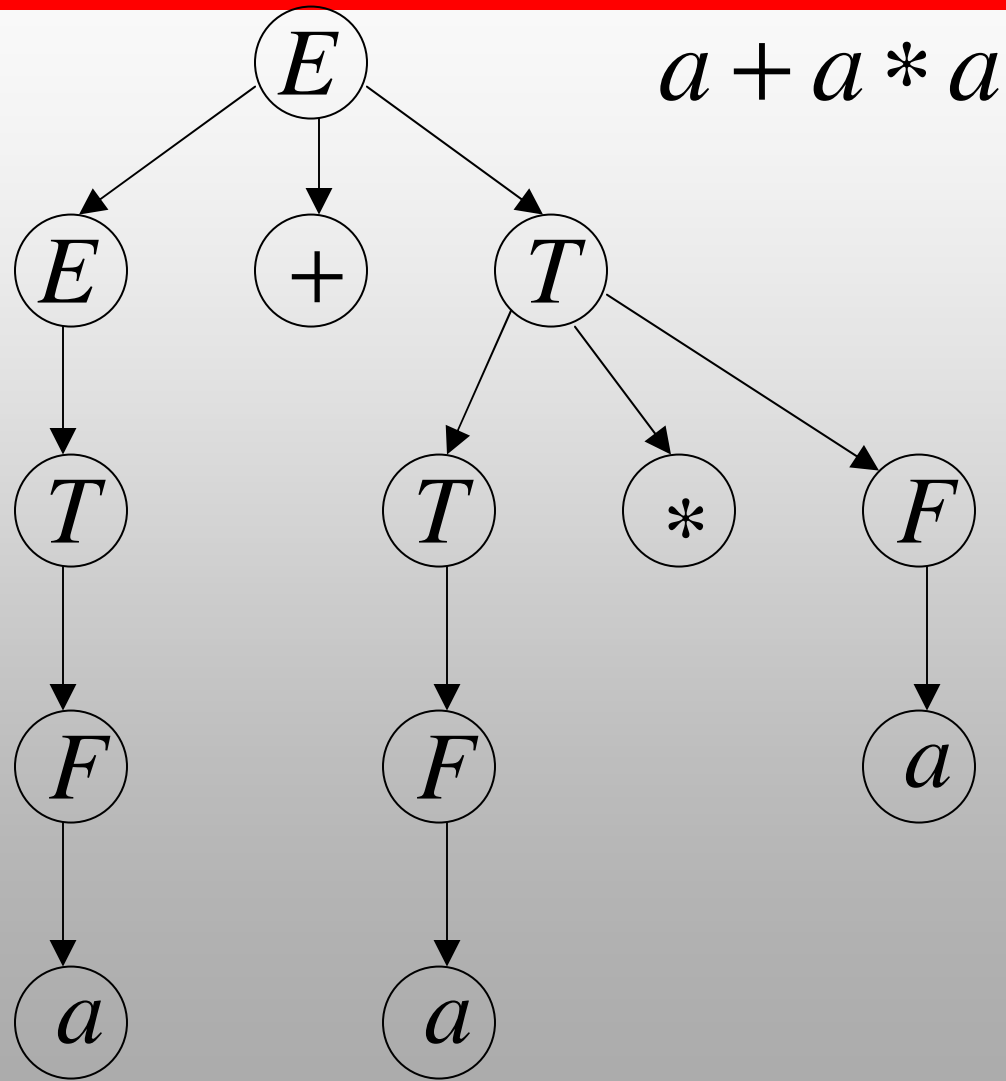
$$T \rightarrow F$$

$$F \rightarrow (E)$$

$$F \rightarrow a$$



Unique derivation tree



The grammar G : $E \rightarrow E + T$

$$E \rightarrow T$$

$$T \rightarrow T * F$$

$$T \rightarrow F$$

$$F \rightarrow (E)$$

$$F \rightarrow a$$

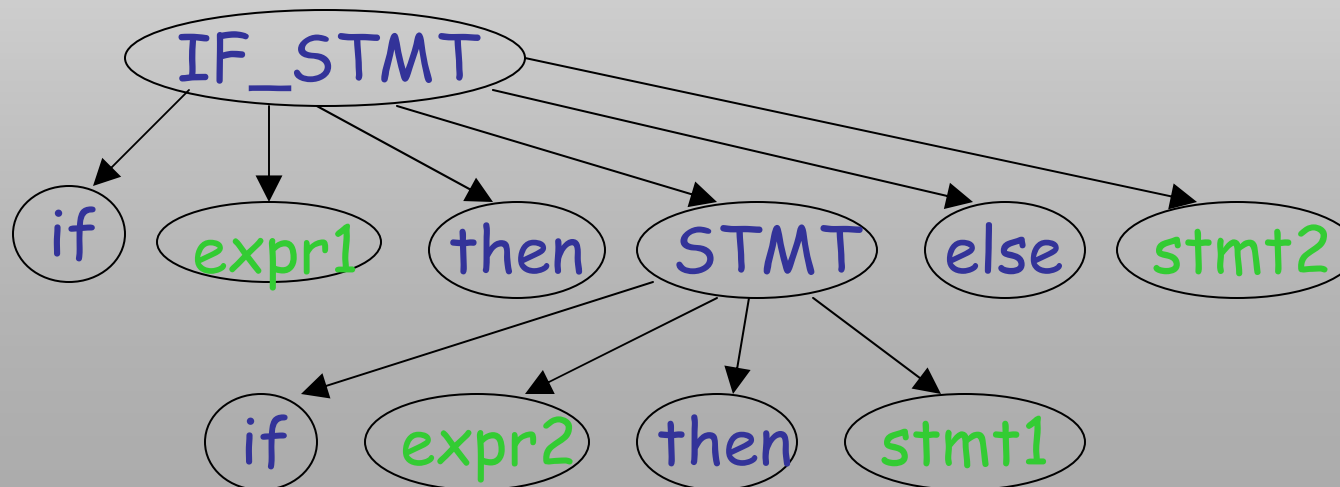
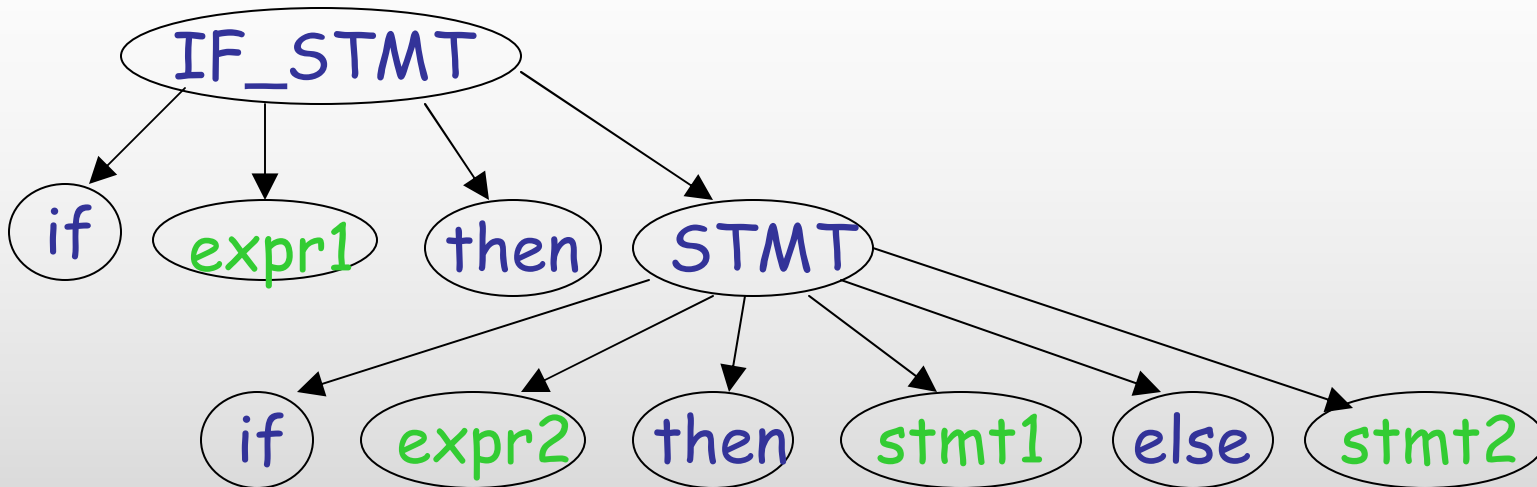
is non-ambiguous:

Every string $w \in L(G)$ has
a unique derivation tree

Another Ambiguous Grammar

IF_STMT $\rightarrow$ if EXPR then STMT
 | if EXPR then STMT else STMT

If *expr1* then if *expr2* then *stmt1* else *stmt2*



Inherent Ambiguity

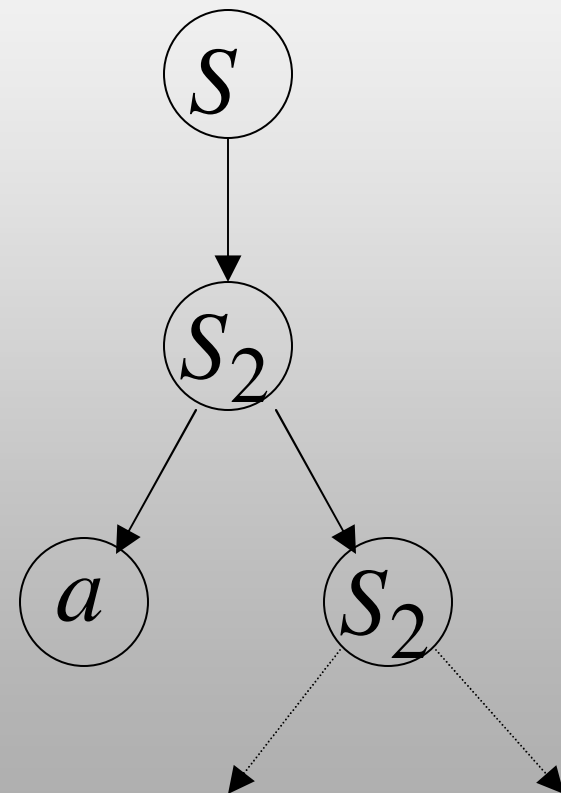
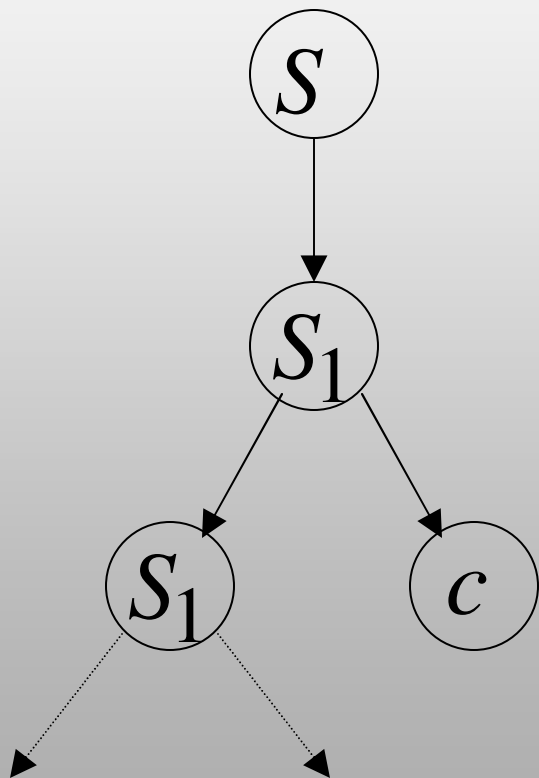
- Some context free languages
- have only ambiguous grammars

Example: $L = \{a^n b^n c^m\} \cup \{a^n b^m c^m\}$

$$\begin{array}{lll} S \rightarrow S_1 \mid S_2 & S_1 \rightarrow S_1 c \mid A & S_2 \rightarrow a S_2 \mid B \\ & A \rightarrow a A b \mid \lambda & B \rightarrow b B c \mid \lambda \end{array}$$

The string $a^n b^n c^n$

has two derivation trees



Simplification of Context Free Grammar

A Substitution Rule

$$S \rightarrow aB$$

$$A \rightarrow aaA$$

$$A \rightarrow abBc$$

$$B \rightarrow aA$$

$$B \rightarrow b$$

Substitute
 $B \rightarrow b$

Equivalent
grammar

$$S \rightarrow aB \mid ab$$

$$A \rightarrow aaA$$

$$A \rightarrow abBc \mid abbc$$

$$B \rightarrow aA$$

A Substitution Rule

$$S \rightarrow aB \mid ab$$

$$A \rightarrow aaA$$

$$A \rightarrow abBc \mid abbc$$

$$B \rightarrow aA$$

Substitute

$$B \rightarrow aA$$

$$S \rightarrow \cancel{aB} \mid ab \mid aaA$$

$$A \rightarrow aaA$$

$$A \rightarrow \cancel{abBc} \mid abbc \mid abaAc$$

Equivalent
grammar

In general:

$$A \rightarrow xBz$$

$$B \rightarrow y_1$$

Substitute

$$B \rightarrow y_1$$

$$A \rightarrow xBz \mid xy_1z$$

equivalent
grammar

Nullable Variables

λ – production : $A \rightarrow \lambda$

Nullable Variable: $A \Rightarrow \dots \Rightarrow \lambda$

Removing Nullable Variables

Example Grammar:

$$S \rightarrow aMb$$

$$M \rightarrow aMb$$

$$M \rightarrow \lambda$$

Nullable variable



Final Grammar

$$S \rightarrow aMb$$

$$M \rightarrow aMb$$

~~$$M \rightarrow \lambda$$~~

Substitute

$$M \rightarrow \lambda$$

$$S \rightarrow aMb$$

$$S \rightarrow ab$$

$$M \rightarrow aMb$$

$$M \rightarrow ab$$

Unit-Productions

Unit Production: $A \rightarrow B$

(a single variable in both sides)

Removing Unit Productions

Observation:

$$A \rightarrow A$$

Is removed immediately

Example Grammar:

$$S \rightarrow aA$$

$$A \rightarrow a$$

$$A \rightarrow B$$

$$B \rightarrow A$$

$$B \rightarrow bb$$

$$S \rightarrow aA$$

$$A \rightarrow a$$

~~$$A \rightarrow B$$~~

$$B \rightarrow A$$

$$B \rightarrow bb$$

Substitute

$$A \rightarrow B$$

$$S \rightarrow aA \mid aB$$

$$A \rightarrow a$$

$$B \rightarrow A \mid B$$

$$B \rightarrow bb$$

$$S \rightarrow aA \mid aB$$

$$A \rightarrow a$$

$$B \rightarrow A \mid \cancel{B}$$

$$B \rightarrow bb$$

Remove

$$B \rightarrow B$$

$$S \rightarrow aA \mid aB$$

$$A \rightarrow a$$

$$B \rightarrow A$$

$$B \rightarrow bb$$

$$S \rightarrow aA \mid aB$$

$$A \rightarrow a$$

~~$$B \rightarrow A$$~~

$$B \rightarrow bb$$

Substitute

$$B \rightarrow A$$

$$S \rightarrow aA \mid aB \mid aA$$

$$A \rightarrow a$$

$$B \rightarrow bb$$

Remove repeated productions

$S \rightarrow aA \mid aB \mid aA$

$A \rightarrow a$

$B \rightarrow bb$



Final grammar

$S \rightarrow aA \mid aB$

$A \rightarrow a$

$B \rightarrow bb$

Useless Productions

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

$$S \rightarrow A$$

$$A \rightarrow aA \text{ Useless Production}$$

Some derivations never terminate...

$$S \Rightarrow A \Rightarrow aA \Rightarrow aaA \Rightarrow \dots \Rightarrow aa \dots aA \Rightarrow \dots$$

Another grammar:

$$S \rightarrow A$$

$$A \rightarrow aA$$

$$A \rightarrow \lambda$$

$$B \rightarrow bA$$

Useless Production

Not reachable from S

In general:

contains only
terminals

if $S \Rightarrow \dots \Rightarrow xAy \Rightarrow \dots \Rightarrow w$


 $w \in L(G)$

then variable A is useful

otherwise, variable A is useless

A production $A \rightarrow x$ is useless
if any of its variables is useless

$$S \rightarrow aSb$$

$$S \rightarrow \lambda$$

Productions

Variables

$$S \rightarrow A$$

useless

useless

$$A \rightarrow aA$$

useless

useless

$$B \rightarrow C$$

useless

useless

$$C \rightarrow D$$

useless

Removing Useless Productions

Example Grammar:

$$S \rightarrow aS \mid A \mid C$$

$$A \rightarrow a$$

$$B \rightarrow aa$$

$$C \rightarrow aCb$$

First: find all variables that can produce strings with only terminals

$$S \rightarrow aS \mid A \mid C$$

Round 1: $\{A, B\}$

$$A \rightarrow a$$

$$S \rightarrow A$$

$$B \rightarrow aa$$

$$C \rightarrow aCb$$

Round 2: $\{A, B, S\}$

Keep only the variables
that produce terminal symbols: $\{A, B, S\}$
(the rest variables are useless)

$$S \rightarrow aS \mid A \mid C$$

$$A \rightarrow a$$

$$B \rightarrow aa$$

$$C \rightarrow aCb$$



$$S \rightarrow aS \mid A$$

$$A \rightarrow a$$

$$B \rightarrow aa$$

Remove useless productions

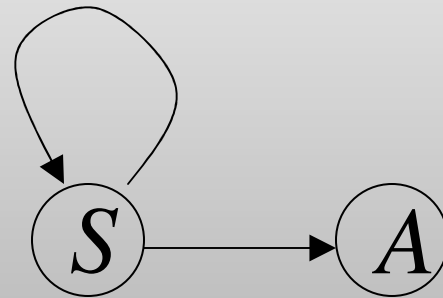
Second: Find all variables
reachable from S

Use a Dependency Graph

$S \rightarrow aS \mid A$

$A \rightarrow a$

$B \rightarrow aa$



not
reachable

Keep only the variables
reachable from S

(the rest variables are useless)

Final Grammar

$$S \rightarrow aS \mid A$$

$$A \rightarrow a$$

~~$$B \rightarrow aa$$~~



$$S \rightarrow aS \mid A$$

$$A \rightarrow a$$

Remove useless productions

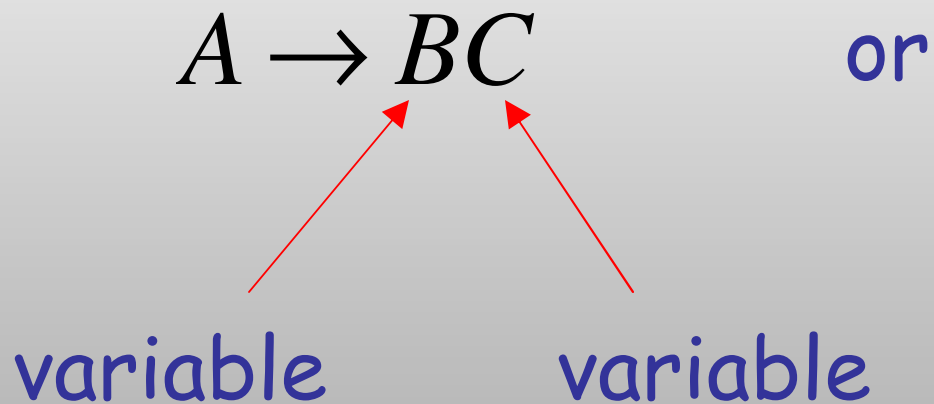
Removing All

- **Step 1:** Remove Nullable Variables
- **Step 2:** Remove Unit-Productions
- **Step 3:** Remove Useless Variables

Chomsky Normal Form for CFG

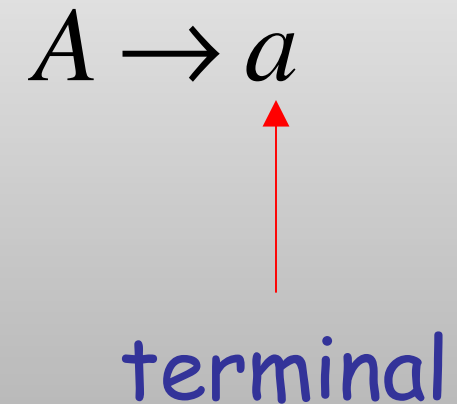
Each productions has form:

$A \rightarrow BC$ or



variable variable

$A \rightarrow a$



terminal

Examples:

$$S \rightarrow AS$$

$$S \rightarrow a$$

$$A \rightarrow SA$$

$$A \rightarrow b$$

Chomsky
Normal Form

$$S \rightarrow AS$$

$$S \rightarrow AAS$$

$$A \rightarrow SA$$

$$A \rightarrow aa$$

Not Chomsky
Normal Form

Conversion to Chomsky Normal Form

$$S \rightarrow ABa$$

- Example: $A \rightarrow aab$

$$B \rightarrow Ac$$

Not Chomsky
Normal Form

Introduce variables for terminals: T_a, T_b, T_c

$$S \rightarrow ABa$$

$$A \rightarrow aab$$

$$B \rightarrow Ac$$



$$S \rightarrow ABT_a$$

$$A \rightarrow T_aT_aT_b$$

$$B \rightarrow AT_c$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

$$T_c \rightarrow c$$

Introduce intermediate variable: V_1

$$S \rightarrow ABT_a$$

$$A \rightarrow T_aT_aT_b$$

$$B \rightarrow AT_c$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

$$T_c \rightarrow c$$



$$S \rightarrow AV_1$$

$$V_1 \rightarrow BT_a$$

$$A \rightarrow T_aT_aT_b$$

$$B \rightarrow AT_c$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

$$T_c \rightarrow c$$

Introduce intermediate variable: V_2

$$S \rightarrow AV_1$$

$$V_1 \rightarrow BT_a$$

$$A \rightarrow T_a T_a T_b$$

$$B \rightarrow AT_c$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

$$T_c \rightarrow c$$



$$S \rightarrow AV_1$$

$$V_1 \rightarrow BT_a$$

$$A \rightarrow T_a V_2$$

$$V_2 \rightarrow T_a T_b$$

$$B \rightarrow AT_c$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

$$T_c \rightarrow c$$

Final grammar in Chomsky Normal Form:

$$S \rightarrow AV_1$$

$$V_1 \rightarrow BT_a$$

$$A \rightarrow T_aV_2$$

$$V_2 \rightarrow T_aT_b$$

$$B \rightarrow AT_c$$

$$T_a \rightarrow a$$

$$T_b \rightarrow b$$

$$T_c \rightarrow c$$

Initial grammar

$$S \rightarrow ABa$$

$$A \rightarrow aab$$

$$B \rightarrow Ac$$

In general:

From any context-free grammar
(which doesn't produce λ)
not in Chomsky Normal Form

we can obtain:

An equivalent grammar
in Chomsky Normal Form

The Procedure

First remove:

Nullable variables

Unit productions

Then, for every symbol a :

Add production $T_a \rightarrow a$

In productions: replace a with T_a

New variable: T_a

Replace any production $A \rightarrow C_1 C_2 \cdots C_n$

with $A \rightarrow C_1 V_1$

$V_1 \rightarrow C_2 V_2$

$\dots$

$V_{n-2} \rightarrow C_{n-1} C_n$

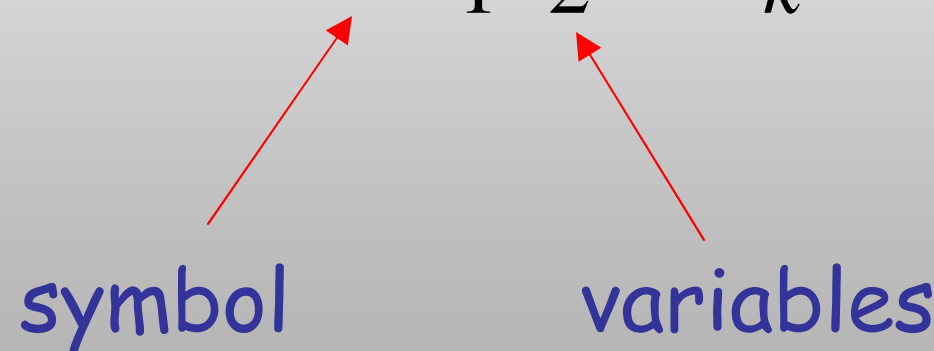
New intermediate variables: $V_1, V_2, \dots, V_{n-2}$

Observations

- Chomsky normal forms are good for parsing and proving theorems
- It is very easy to find the Chomsky normal form for any context-free grammar

Greinbach Normal Form

All productions have form:

$$A \rightarrow a V_1 V_2 \cdots V_k \quad k \geq 0$$


symbol

variables

Examples:

$$S \rightarrow cAB$$

$$A \rightarrow aA \mid bB \mid b$$

$$B \rightarrow b$$

Greinbach
Normal Form

$$S \rightarrow abSb$$

$$S \rightarrow aa$$

Not Greinbach
Normal Form

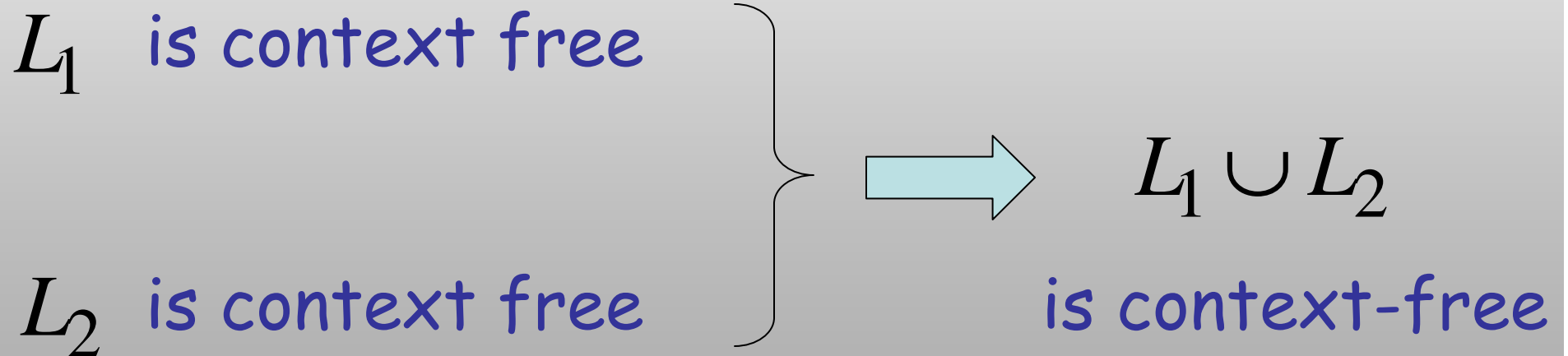
Observations

- Greinbach normal forms are very good for parsing
- It is hard to find the Greinbach normal form of any context-free grammar

Properties of CFL

Union

Context-free languages
are closed under: **Union**



Example

Language

Grammar

$$L_1 = \{a^n b^n\}$$

$$S_1 \rightarrow aS_1b \mid \lambda$$

$$L_2 = \{ww^R\}$$

$$S_2 \rightarrow aS_2a \mid bS_2b \mid \lambda$$

Union

$$L = \{a^n b^n\} \cup \{ww^R\}$$

$$S \rightarrow S_1 \mid S_2$$

In general:

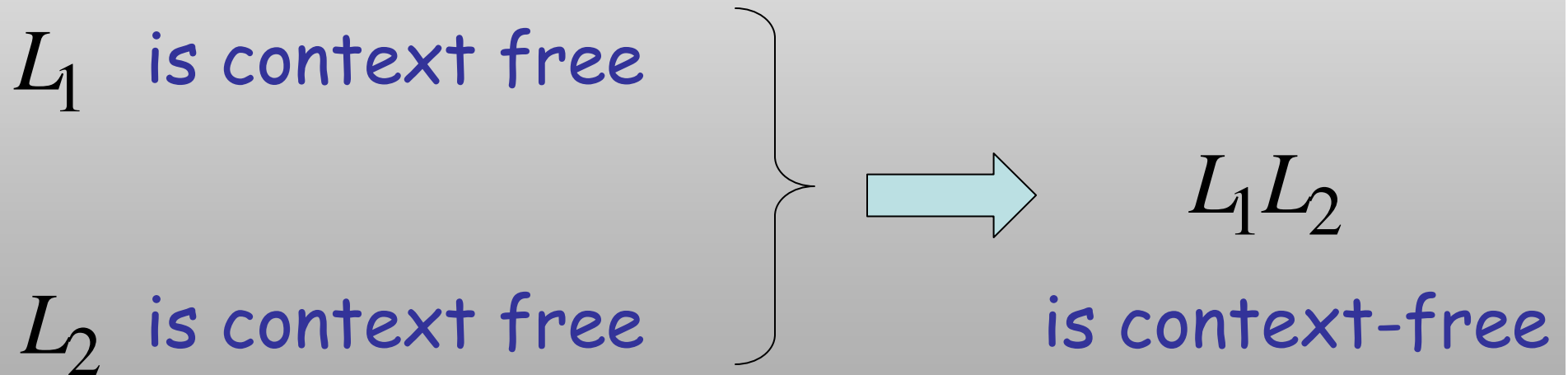
For context-free languages	L_1, L_2
with context-free grammars	G_1, G_2
and start variables	S_1, S_2

The grammar of the union	$L_1 \cup L_2$
has new start variable	S
and additional production	$S \rightarrow S_1 \mid S_2$

Concatenation

Context-free languages
are closed under:

Concatenation



Example

Language

Grammar

$$L_1 = \{a^n b^n\}$$

$$S_1 \rightarrow aS_1b \mid \lambda$$

$$L_2 = \{ww^R\}$$

$$S_2 \rightarrow aS_2a \mid bS_2b \mid \lambda$$

Concatenation

$$L = \{a^n b^n\} \{ww^R\}$$

$$S \rightarrow S_1 S_2$$

In general:

For context-free languages	L_1, L_2
with context-free grammars	G_1, G_2
and start variables	S_1, S_2

The grammar of the concatenation	$L_1 L_2$
has new start variable	S
and additional production	$S \rightarrow S_1 S_2$

Star Operation

Context-free languages
are closed under: **Star-operation**

L is context free  L^* is context-free

Example

Language

Grammar

$$L = \{a^n b^n\}$$

$$S \rightarrow aSb \mid \lambda$$

Star Operation

$$L = \{a^n b^n\}^*$$

$$S_1 \rightarrow SS_1 \mid \lambda$$

In general:

For context-free language	L
with context-free grammar	G
and start variable	S

The grammar of the star operation	L^*
has new start variable	S_1
and additional production	$S_1 \rightarrow SS_1 \mid \lambda$

Intersection

Context-free languages
are not closed under: **intersection**

L_1 is context free

L_2 is context free



$L_1 \cap L_2$

not necessarily
context-free

Example

$$L_1 = \{a^n b^n c^m\}$$

Context-free:

$$S \rightarrow AC$$

$$A \rightarrow aAb \mid \lambda$$

$$C \rightarrow cC \mid \lambda$$

$$L_2 = \{a^n b^m c^m\}$$

Context-free:

$$S \rightarrow AB$$

$$A \rightarrow aA \mid \lambda$$

$$B \rightarrow bBc \mid \lambda$$

Intersection

$$L_1 \cap L_2 = \{a^n b^n c^n\} \quad \text{NOT context-free}$$

Complement

Context-free languages
are not closed under:

complement

L is context free $\longrightarrow \bar{L}$ not necessarily
context-free

Example

$$L_1 = \{a^n b^n c^m\}$$

Context-free:

$$S \rightarrow AC$$

$$A \rightarrow aAb \mid \lambda$$

$$C \rightarrow cC \mid \lambda$$

$$L_2 = \{a^n b^m c^m\}$$

Context-free:

$$S \rightarrow AB$$

$$A \rightarrow aA \mid \lambda$$

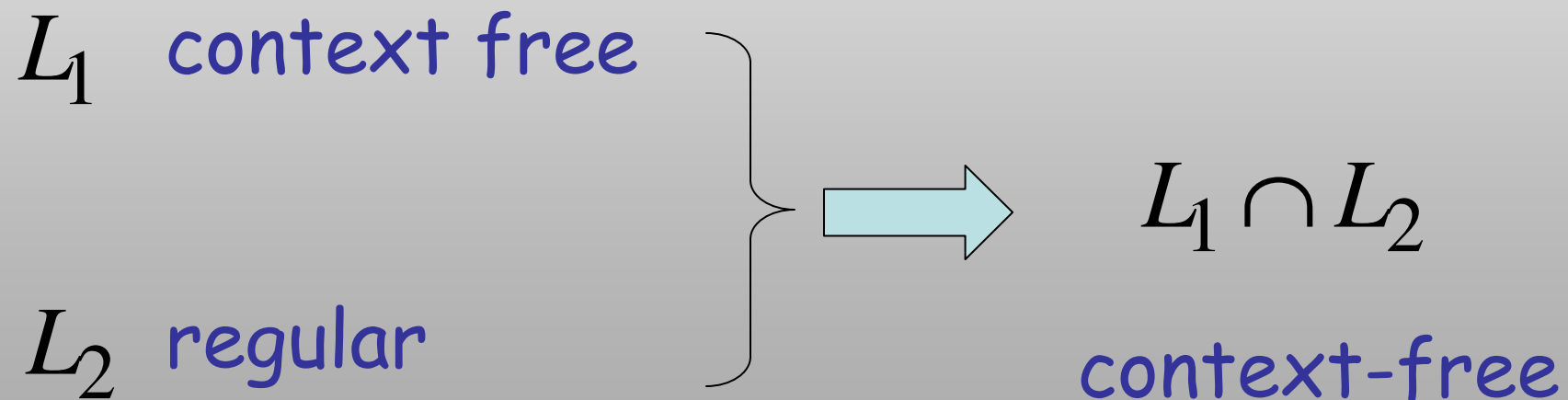
$$B \rightarrow bBc \mid \lambda$$

Complement

$$\overline{\overline{L_1} \cup \overline{L_2}} = L_1 \cap L_2 = \{a^n b^n c^n\}$$

NOT context-free

The intersection of
a context-free language and
a regular language
is a context-free language



Summary

The Chomsky Hierarchy

Non-recursively enumerable

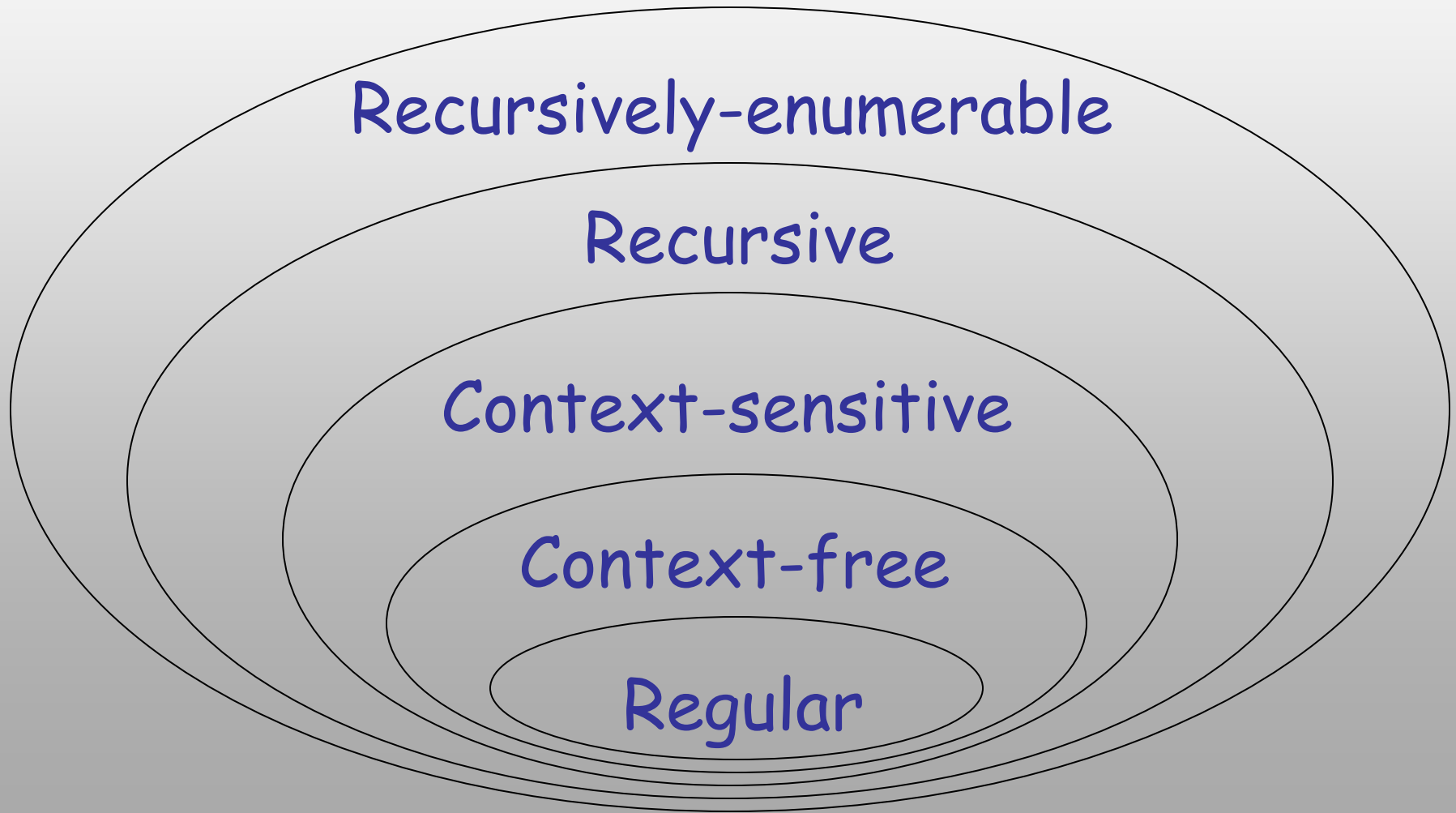
Recursively-enumerable

Recursive

Context-sensitive

Context-free

Regular



Who is Noam Chomsky Anyway?

- Philosopher of Languages
- Professor of Linguistics at MIT
- Constructed the idea that language was not a learned “behavior”, but that it was cognitive and innate; versus stimulus–response driven
- In an effort to explain these theories, he developed the Chomsky Hierarchy

Chomsky Hierarchy

Language	Grammar	Machine	Example
Regular Language	Regular Grammar • Right-linear grammar • Left-linear grammar	Deterministic or Nondeterministic Finite-state acceptor	a^*
Context-free Language	Context-free grammar	Nondeterministic Pushdown automaton	$a^n b^n$
Context-sensitive	Context-sensitive grammar	Linear-bounded automaton	$a^n b^n c^n$
Recursively enumerable	Unrestricted grammar	Turing machine	Any computable function

Chomsky Hierarchy

- Comprises four types of languages and their associated grammars and machines.
- Type 3: Regular Languages
- Type 2: Context-Free Languages
- Type 1: Context-Sensitive Languages
- Type 0: Recursively Enumerable Languages
- These languages form a strict hierarchy