

KATHRYN C. IBATA-ARENS

Assistant Professor
Department of Political Science
DePaul University
990 West Fullerton Avenue
Chicago, Illinois 60614-2458
773/325-4716 (phone) 773/325-7337 (fax)
kibataar@depaul.edu

EDUCATION

- 2001 Northwestern University, PhD, Political Science
Political Economy, International Relations, Japan
- 1991 Loyola University Chicago, BA *Honors*, Political Science (*with distinction*)
Emphasis: International Relations

RESEARCH EXPERIENCE

- 2005-2006 Social Science Research Council (SSRC)/Japan Foundation Abe Fellow,
Doshisha University, Faculty of Commerce
- 2002 Post-Doctoral Research Fellow, Japan Society for the Promotion of Science
(JSPS), Research Center for Advanced Science and Technology, University
of Tokyo
- 2002 Co-Conference Coordinator, Joint Northwestern-Princeton, Japan-U.S.
Friendship Commission and Social Science Research Council, Junior
Scholars' Workshop on the Embedded Enterprise in Comparative Perspective
- 2000-2002 Fellow, [Program on the Corporation as a Social Institution](#), [Social Science
Research Council](#) and [Sloan Foundation](#), Research support: the politics of
innovation and the embedded enterprise
- 1997-1998 Fulbright Graduate Research Fellow, [University of Tokyo](#), [Research Center
for Advanced Science and Technology](#); [Osaka University](#), [Osaka School of
International Public Policy](#)
- 1997 Foreign Research, [Hosei University](#), [Department of Economics](#), Research on
small and medium sized enterprises in Japan
- 1995-1996 CIC Visiting Scholar, University of Chicago, Political Science: courses,
research in Japanese political economy

- 1993-1994, 1996 Research Assistant, Northwestern University, Conference Coordinator
The Developmental State in Comparative Perspective, The Roundtable on Political Economy; assisted in editing *The Developmental State* (1999) Merideth Woo-Cummings, ed., Cornell University Press
- 1994 Research, Japanese Consulate of Chicago, The New World Order, Japan-consultant, United States relations
- 1991-1993 International Trade Consultant, Van Besouw - The Netherlands, International trade and tax policy research

GRANTS/ AWARDS

DePaul University

- 2005 SSRC/Japan Foundation Abe Fellow (awarded 2004)
- 2005 URC Paid Research Leave
- 2005 University Research Council Grant (book indexing)
- 2004 University Research Council Grant (Travel to Japan)
- 2004 LA&S Summer Research Stipend (book manuscript preparation)
- 2004 University Research Council Grant Summer (Travel to St. Louis)
- 2003 Quality of Instruction Council *Departmental Initiative Grant*
- 2003 University Research Council Grant, Summer (Travel to Japan)
- 2002 JSPS Post-Doctoral Research Fellow

Northwestern University

- 2000-2002 Alfred P. Sloan Foundation/Social Science Research Council Fellow, Program on the Corporation as a Social Institution
- 2001 Center for International and Comparative Studies Doctoral Certificate
- 2000 Graduate Research Grant
- 1999-2000 Preparing Future Faculty Program Fellow
- 1999 Social Science Research Council Japan Dissertation Workshop Grant
- 1997-1998 Fulbright Dissertation Fellowship (Japan)
- 1997 Hosei University Research Fellowship (Japan)
- 1996-1997 [Stanford University Yokohama Inter-University Language Center](#) Scholarship (Japan)
- 1996 Women in International Security Conference Scholarship
- 1995 [Center for International and Comparative Studies](#) Research Grant
- 1993 Illinois Women in Government Conference Scholarship
Teaching Assistantship

Loyola University

- 1990-1991 Distinction Program, Department of Political Science
Pi Sigma Alpha (Political Science Honor Society)
Graduate with University Honors
Dean's List
- 1989-1990 Vice President of Student Life Leadership Award

PUBLICATIONS

Books

Innovation and Entrepreneurship in Japan: Politics, Organizations and High Technology Firms, Cambridge University Press, 2005.

Refereed Articles

Co-written with Hiromichi Obayashi, "Escaping the Japanese Pyramid: The Association of Small and Medium Size Enterprise Entrepreneurs (SME Doyukai), 1947-1999. Special Issue on Embedded Enterprise, *Enterprise and Society: the International Journal of Business History*, Volume 7, Number 1, Oxford University Press, 2006.

"Alternatives to Hierarchy in Japan: Business Networks and Civic Entrepreneurship," *Journal of Asian Business and Management*, Volume 3, Number 3 (13 August 2004).

"*Ikinokori o Kakeru Chushokigyo, Nihon no Hai Teku Chushokigyo*," *Seikei Kenkyu, Seijikeizai Kenkyujo* ("Small and Medium Sized Firms Fighting for Survival: Japan's High Tech SMEs," *Study on Politics and Economy*, Institute of Politics and Economy), No. 75, November, 2000 (Japan).

Non-Refereed Articles

Co-written with Julian Dierkes and Dirk Zorn, *Theoretical Introduction to Special Issue on the Embedded Enterprise*, Special Issue on Embedded Enterprise, *Enterprise and Society: the International Journal of Business History*, Volume 7, Number 1, Oxford University Press, 2006.

"The Business of Survival: Small and Medium-Sized High-Tech Enterprises in Japan," in *Special Issue on Dysfunctional Japan: at Home and In the World*, Chalmers Johnson, Guest Editor, *Asian Perspective*, Vol. 24, No. 4, 2000.

Working Papers

Japan's Quest for Entrepreneurialism: the Cluster Plan, Working Paper 102, Japan Policy Research Institute, August 2004.

The Business of Survival: Small and Medium Sized firms in High Tech Industry in Japan. Working Paper 59, Japan Policy Research Institute, July 1999.

Review Articles

"The Comparative Political Economy of Innovation," *Review of International Political Economy*, Vol.: 10:1, February 2003.

Review Article: *Information, Corporate Governance, and Institutional Diversity: Competitiveness in Japan, the USA and the Transitional Economies*. By Masahiko Aoki, Oxford University Press, 2000. In *The Journal of Asian Studies*, Vol.: 61:4, November 2002.

PRESENTATIONS

- 2006 September *Life Science Clusterization in the United States and Japan: Push, Pull, Drag and Jump Factors*, Panelist, Symposium on Industrial Strategy, Mansfield Foundation and Center for Global Partnership (Tokyo).
- 2006 September *Beikoku ni Okeru Bencha Kyapitaru* (Venture Capital in the United States), Special International Lecturer (in Japanese), Kyoto University Business School International Venture Capital Forum, Kyoto University (Kyoto).
- 2006 May *Trends in Biotechnology in the United States and Japan: Reflections on Work-in-Progress*, Venture Capital Research Group, Kyoto University.
- 2005 July *The Local Political Economy of Innovation in Japan and the United States*, Venture Business Research Group, Doshisha University (Kyoto).
- 2005 June *Comparing Biotechnology in Japan and the United States*, Research Colloquium, Kansai University (Osaka).
- 2005 April *Dis-Embedding from Hierarchy in Japan: the Association of Small and Medium Size Enterprise Entrepreneurs (SME Doyukai) 1947 – 1999*, Annual Meeting of the Association for Asian Studies, Chicago
- 2004 September *Industrial Renewal and Decline in Japan's Kansai and the American Midwest: Civic Entrepreneurship in Innovative Communities of Firms*, 100th Annual Meeting of the American Political Science Association, Chicago.
- 2004 August *The Kyoto Model? The Local Political Economy of Innovation in Comparative Perspective*, East West Center/East West Center Alumni Conference, Tokyo.
- 2003 June *Comparative Political Economy of Innovation: Japan's Kansai and the American Midwest*, Asia Pacific Conference 2003, Association of Asian Studies, Honolulu.
- 2003 March *Koizumi's So-Called Economic Reforms: Review and Analysis*, 55th Annual Meeting of the Association for Asian Studies, New York.
- 2002 June *Embedded Enterprise in Comparative Perspective*, SSRC Corporation as a Social Institution University of California, Berkeley Workshop.
- 2001 March *Alternatives to Hierarchy in Japan: Business Networks as Enabling Institutions*, 53rd Annual Meeting of the Association for Asian Studies, Chicago.

- 2001 February *The Economic Crisis in Japan: Interaction Between Macro-Economic Crisis and Micro-Economic Structure in High Technology Industries*, 42nd Annual Convention of the International Studies Association, Chicago.
- 2000 October *Political Opposition and the Role of Autonomous Business Associations in Industrial Policymaking in Japan: the Association of Small and Medium Size Enterprises*, International Studies Association Midwest Regional Meeting, St. Louis, Missouri.
- 2000 October *The Politics of Innovation*, International Studies Association Midwest Regional Meeting, St. Louis, Missouri.
- 2000 October *Alternatives to Hierarchy in Japan: Small and Medium Sized Enterprise-Based Local Business Networks*, The Midwest Conference of Asian Affairs (MCAA).
- 1998 June *Local Small and Medium Sized Enterprise Networks in Japan*, Asian Studies Conference Japan, Association of Asian Studies.
- 1997 July *The Political Economy of Innovative Capacity: Japanese Small to Medium Sized Enterprises in the Information Technology Industry*, 9th Annual Ph.D. Kenkyukai Conference, International House, Japan.
- 1996 September *The Asian Development Bank: A State-Institutional Approach to Development*, Joint International Studies Association-Japan Association of International Relations (ISA-JAIR) Conference, Japan.
- 1996 June *Economic Security as National Security: Japan and the United States in Asia*, St. John's College, Annapolis, Maryland.

TEACHING EXPERIENCE

- 2003-Present Assistant Professor
 DePaul University
 Courses: Asian Politics
 International Political Economy of the Pacific Rim
 World Political Economy
 Capitalism and Democracy
 States, Markets, Society
 Sophomore Multicultural Seminar: Domestic Groups and U.S. Foreign Policy
 Conflict and Cooperation Among Nations
 Political Inquiry
- 1999-2000 Fellow, Preparing Future Faculty Program
 Northwestern University

- 1995-2000 Lecturer, Business Institutions Program (Departments of Economics,
Political Science and Sociology)
Northwestern University
Courses: Politics of International Trade
Government and Business
- 1999 Lecturer, International Studies,
Northwestern University, 1999
Course: North American Integration: the Political Economy of NAFTA
- 1994 Summer Lecturer, Department of Political Science,
Rosary College (now Dominican University)
Course: Government and Business

SCHOLARLY and PROFESSIONAL ACTIVITIES

- 2004-2005 Guest Editor, Special Issue on Embedded Enterprise, *Enterprise and
Society: the International Journal of Business History*, Oxford
University Press, forthcoming.
- 2003 June Panel Discussant, *The Communications Revolution*, Asia Pacific
Conference 2003, Association of Asian Studies, Honolulu.
- 1995 Midwest Delegate and Scholarship Recipient, Asian American
Caucus/Congressional Leadership Conference, JACL/OCA,
Washington, D.C.

PROFESSIONAL MEMBERSHIPS

American Political Science Association
Association of Asian Studies
International Studies Association

COMMUNITY ACTIVITIES

- 1995-1992 Asian American Women's Forum, Northwestern University
Moderator; senior member
Weekly forum for addressing issues significant to Asian American
Women and Asian Americans
- 1995-1996 Japanese American Citizens League Chicago Chapter Board Member
1996 Scholarship Evaluations Chairman
- 1989-1991 University Ministry, Loyola University. Volunteer; Hunger Week
Steering Committee, fundraising, public relations aimed at
increasing student involvement in community issues

Languages studied: Japanese
Spanish

REFERENCES

Northwestern University

Professor Benjamin Schneider, Center for International and Comparative Studies,
Department of Political Science

University of Chicago

Professor Bernard Silberman, Department of Political Science

University of California, San Diego

Professor Emeritus Chalmers Johnson, President, Japan Policy Research Institute

Yale University

Professor Peter Swenson, Department of Political Science